

## PERMANENT COURT OF ARBITRATION

ARBITRATION UNDER ANNEX VII OF THE 1982 UNITED NATIONS  
CONVENTION ON THE LAW OF THE SEA

- - - - - - - - - - - - - - - x  
In the Matter of Arbitration :  
Between: :  
THE REPUBLIC OF MAURITIUS, :  
and : PCA Reference MU-UK  
THE UNITED KINGDOM OF GREAT :  
BRITAIN AND NORTHERN IRELAND :  
- - - - - - - - - - - - - - - x (Final Amended Version)

## HEARING ON BIFURCATION

Friday, January 11, 2013

DIAC - Dubai International Arbitration  
Centre  
Dubai Chamber of Commerce & Industry  
Baniyas Road, Deira  
Dubai, U.A.E.

The hearing in the above-entitled matter convened at  
9:30 a.m. before:

PROFESSOR IVAN SHEARER, Presiding Arbitrator  
SIR CHRISTOPHER GREENWOOD, CMG, QC, Arbitrator  
JUDGE ALBERT J. HOFFMANN, Arbitrator  
JUDGE JAMES KATEKA, Arbitrator  
JUDGE RÜDIGER WOLFRUM, Arbitrator

Permanent Court of Arbitration:

MR. BROOKS W. DALY, Registrar

Court Reporter:

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MR. SAMUEL WORDSWORTH  
Essex Court Chambers, London

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# 1 PROCEEDINGS

2 PRESIDENT SHEARER: Well, good morning, ladies and  
3 gentlemen. I declare open this phase of the proceedings  
4 between the Republic of Mauritius and the United Kingdom in the  
5 dispute concerning the Marine Protected Area related to the  
6 Chagos Archipelago, a matter that has been referred to  
7 arbitration under the provisions of the United Nations  
8 Convention on the Law of the sea.

Under the Rules of Procedure for the Tribunal adopted  
on the 29th of March 2012, the United Kingdom has requested  
that its Preliminary Objections to jurisdiction submitted to  
the Tribunal on the 31st of October 2012 be considered at a  
hearing separate from the merits.

14 The United Kingdom further requested, pursuant to  
15 Article 11(4) of the Rules of Procedure, that a hearing be held  
16 in order to determine whether such a separation of the  
17 questions of jurisdiction and admissibility on the one hand and  
18 the merits on the other should occur. This question is  
19 referred to in the Rules as bifurcation.

20 The issue, therefore, before us today is whether the  
21 objections to jurisdiction raised by the United Kingdom are  
22 suitable for determination in a separate phase of the  
23 proceedings. We're not here to decide on those objections  
24 themselves, still less to decide any questions belonging to the  
25 merits of the case.

09:35 1            In a moment I shall call upon the Agents for the  
2 Parties to announce their appearance together with their teams  
3 of counsel. But before doing so, I should inform you that the  
4 Tribunal Members, having met privately yesterday, discussed how  
5 members might ask questions of counsel as the hearing  
6 progresses. It was decided that Members of the Tribunal  
7 individually might ask questions at any time.

8            Additionally, during the luncheon adjournment the  
9 Tribunal might formulate questions, if considers them desirable  
10 or necessary, to put to the Parties immediately upon the  
11 resumption of the hearing in the afternoon for a response  
12 during the periods allocated in the hearing schedule for  
13 rebuttals or in the final half hour of the hearing designated  
14 for that purpose.

15            Finally, the Tribunal and the Permanent Court of  
16 arbitration wishes to express their deep appreciation of the  
17 excellent facilities made available to them, without cost, by  
18 the Dubai International Arbitration Centre and its Director,  
19 Mr. Nassib Ziadé.

20            In calling now on the Agents of the Parties, I invite  
21 them also to make any comment relating to the procedure I have  
22 outlined or to make proposals of a practical or organizational  
23 kind.

24            I call first upon the Agent for the United Kingdom.  
25 Mr. Whomersley.

09:36 1 MR. WHOMERSLEY: Mr. President, Members of the  
2 Tribunal, thank you very much.

3 Shall I first introduce the members of my team. On my  
4 right is Mr. Qudsi Rasheed, who is the Deputy Agent for the  
5 United Kingdom and Assistant Legal Adviser in the Foreign and  
6 Commonwealth Office. On his right is Sir Michael Wood, of  
7 counsel; and, on his right is Mr. Samuel Wordsworth, also of  
8 counsel. Mr. President, Members of the Tribunal, I think we  
9 are perfectly happy with the procedure which you have just  
10 outlined.

11 Thank you.

12 PRESIDENT SHEARER: Thank you very much,  
13 Mr. Whomersley.

14 I call now upon the Agent for the Republic of  
15 Mauritius.

16 Mr. Dabee.

17 MR. DABEE: Thank you, Mr. President.

18 Mr. President and distinguished Members of the  
19 Tribunal, let me on behalf of the delegation of the Republic of  
20 Mauritius state that it is my pleasure and honor to be  
21 appearing before you to address you this morning.

22 We are thankful to you, Mr. President and  
23 distinguished Members of the Tribunal, for finding time so  
24 early in this new year to hear this matter, a matter which is  
25 one of great importance to Mauritius.

09:38 1            We are also grateful to the PCA and in particular to  
2 the Registrar for the exemplary way in which they have been  
3 carrying out their mandate. They have acted expeditiously and  
4 diligently and been ensuring procedural fairness throughout the  
5 process so far.

6            We welcome today's hearing and the opportunity it  
7 offers to engage with our colleagues from the U.K. delegation.  
8 That being said, I must say we were disappointed to receive a  
9 letter on the 8th of November of last year that appeared to  
10 raise a doubt as to the seriousness of Mauritius's approach to  
11 the question of bifurcation.

12           Mr. President, may I take this opportunity to draw  
13 attention to the fact that the Rules of Procedure have been  
14 agreed to by the Parties in their entirety following extensive  
15 consultations. Article 11(3) expressly provides, and I quote,  
16 "The Arbitral Tribunal may, after ascertaining the views of the  
17 Parties, determine whether objections to jurisdiction or  
18 admissibility shall be addressed as a preliminary matter or  
19 deferred to the Tribunal's Final Award. If either Party so  
20 requests, the Tribunal shall hold hearings prior to ruling on  
21 any objections to jurisdiction or admissibility."

22           The U.K. has expressed its concerns over--

23           PRESIDENT SHEARER: I'm sorry, Mr. Dabee, I have to  
24 interrupt there. I think you're now going into the arguments.

25           MR. DABEE: Obviously I'll wait.

09:39 1 PRESIDENT SHEARER: And I invited you simply to  
2 introduce your team, and then we will hand the floor over to  
3 the U.K. You will have an opportunity--

4 MR. DABEE: I will proceed straightforwardly to introduce  
5 them.

6 PRESIDENT SHEARER: Please do so.

7 MR. DABEE: Allow me at this stage, Mr. President, to  
8 introduce the members of the delegation of the Republic of  
9 Mauritius. To my right is Professor Philippe Sands. Next to  
10 him is Professor James Crawford and Ms. Alison Macdonald. And  
11 to my far right is Ambassador Meatarbhan from our mission in  
12 New York.

13 On the table behind from my right to left we have our  
14 Deputy Agent--we have first Ms. Elizabeth Wilmshurst; secondly,  
15 Ms. Young Kim Fat; then Ms. Aruna Narain, our Deputy Agent; and  
16 also Remi Reichhold, next to Ms. Elizabeth Wilmshurst.

17 I will very briefly refer to the way in which our  
18 delegation will proceed with our representation or, rather, we  
19 will leave that to a later stage.

20 Thank you, Mr. President.

21 PRESIDENT SHEARER: Thank you, Mr. Dabee.

22 Well, now, neither side has made any comments on the  
23 organizational arrangements, so we presume that everything is  
24 in order to proceed according to the hearing schedule that has  
25 been set out.

09:41 1 I call on the Agent for the United Kingdom,  
2 Mr. Whomersley.

3 ORAL ARGUMENT BY COUNSEL FOR RESPONDENT

4 MR. WHOMERSLEY: Thank you, Mr. President.

5 Mr. President, Members of the Tribunal, we are  
6 grateful to you for agreeing to the present procedural hearing,  
7 which is taking place in accordance with Article 11 of the  
8 Rules of Procedure adopted on 29 March 2012, that Article being  
9 entitled "Preliminary Objections." Mr. President, as you've  
10 said, the purpose of today's hearing is to discuss the  
11 procedure for dealing with the United Kingdom's Preliminary  
12 Objections to Jurisdiction, which were submitted on  
13 31 October 2012, in accordance with Article 11 of the Rules.

14 As you say, this is not an occasion to debate the  
15 substance of those objections except insofar as that is  
16 necessary in order to determine the procedure to be followed.

17 Mr. President, in submitting our Preliminary  
18 Objections on 31 October, we invited Mauritius to recognize  
19 that our Preliminary Objections were serious and substantial,  
20 and were manifestly well suited to being addressed as a  
21 preliminary matter. Had Mauritius done so, the present hearing  
22 would probably have been unnecessary.

23 But, in a letter dated 2 November, Mauritius stated  
24 that it considered, "that the objections raised by the United  
25 Kingdom are properly to be addressed together with the merits."

09:42 1           And, in Written Observations of 21 November, Mauritius  
2 invited you to, "order that the United Kingdom's Preliminary  
3 Objections be joined to the merits."

4           The United Kingdom has responded with a written reply  
5 dated 21 December.

6           Mr. President, Members of the Tribunal, for the  
7 reasons given in writing in our Preliminary Objections of  
8 31 October, in our written reply of 21 December, and orally  
9 today, the United Kingdom will respectfully request, in  
10 accordance with Article 11(2)(a) of the Rules of Procedure,  
11 that each of its Preliminary Objections be dealt with as a  
12 preliminary matter. Mr. President, counsel for the United  
13 Kingdom will address you as follows:

14           First, Sir Michael Wood will look at the approach we  
15 consider it would be appropriate for this Tribunal to adopt.  
16 In doing so, he will set out what we see as the relevant law  
17 and practice on the procedural issue that is before you today;  
18 namely, whether or not Preliminary Objections such as those put  
19 forward by the United Kingdom should, in an arbitration of this  
20 sort, be deferred to the Final Award.

21           Sir Michael will then followed by Mr. Wordsworth, who  
22 will consider the United Kingdom's three Preliminary Objections  
23 in the light of that law and practice.

24           May I, therefore, request that you invite Sir Michael  
25 to address the Tribunal.

09:44 1 PRESIDENT SHEARER: Thank you, Mr. Whomersley.

2 And I call upon Sir Michael to address the Tribunal.

3 Thank you.

4 SIR MICHAEL WOOD: Mr. President, Members of the

5 Tribunal, as the Agent has just explained, my task is to

6 describe the law and practice on this procedural issue before

7 you today and the approach that we say the Tribunal should

8 adopt. Mr. Wordsworth will then apply this to each of the

9 Preliminary Objections raised by the United Kingdom.

10 I want to stress, as the Agent has just done, that it

11 is, in our submission, clear on any reasonable approach that

12 the Preliminary Objections to jurisdiction in the present case

13 are serious and discrete and suitable for consideration at a

14 preliminary phase. There is no basis, we say, in the present

15 case for abbreviating the procedures and skipping the

16 Provisional Objections phase. In fact, it would, in the

17 circumstance of this case, be quite extraordinary for the

18 Preliminary Objections to be considered together with the

19 merits.

20 I shall first make some general observations about the

21 importance of Preliminary Objections in State-to-State

22 litigation.

23 I will then turn to points of agreement and

24 disagreement between the Parties.

25 Next, I shall look at the applicable legal provisions

09:46 1 as supplemented by the law and practice of other international  
2 courts and tribunals.

3               And, finally, I shall suggest how, in our view, the  
4 Tribunal should approach the matter before it today.

5               As you have said, Mr. President, the sole question  
6 before the Tribunal at this hearing is whether the United  
7 Kingdom's Preliminary Objections should be addressed as a  
8 preliminary matter--that is, at a Preliminary Objections  
9 phase--separate and prior to any hearing on the merits; or  
10 whether, notwithstanding that the United Kingdom has chosen to  
11 submit them as preliminary objections, as it is entitled to do  
12 under the Rules of Procedure, they should be deferred to the  
13 Final Award.

14               We note that in the very last sentence of its Skeleton  
15 Argument, Mauritius has now introduced the new thought that,  
16 and I quote, "If any part of Mauritius's claim is considered by  
17 the Tribunal to be unquestionably within its jurisdiction, it  
18 should not hold a preliminary jurisdictional phase in respect  
19 of any of the U.K.'s other objections." That, we say, is plain  
20 wrong. The Tribunal could anyway not reach such an  
21 unquestionable view at the present stage without a hearing on  
22 jurisdiction, and the suggestion that a Preliminary Objections  
23 phase must, of necessity, be on all objections, has no basis  
24 whatsoever in the practice.

25               We do, of course, say that all our objections are

09:48 1 suitable for consideration as a preliminary matter, but if the  
2 Tribunal were to take a different view, that would be no reason  
3 for not having a discrete Preliminary Objections phase on the  
4 remaining objections. Not to do so would run counter to a  
5 principal aim of the institution of Preliminary Objections,  
6 that a State should not be required to argue the merits of a  
7 claim where there are real doubts about jurisdiction that can  
8 be resolved at a preliminary phase.

9           Mr. President, it's important to keep in mind that the  
10 question before you today is quite different from that  
11 addressed by the ICJ and ITLOS. Under their Rules, the  
12 question they may have to address is whether a preliminary  
13 objection is or is not exclusively of a preliminary character,  
14 but they do so after full written and oral pleadings at a  
15 Preliminary Objections phase separate from any merits phase.  
16 The present hearing is quite different in nature and  
17 necessarily calls for a different approach.

18           Before coming to the approach that we say should be  
19 adopted by the Tribunal on this occasion, I shall first say a  
20 word about the importance of the institution of Preliminary  
21 Objections in State-to-State litigation. This is the context  
22 for consideration of the Tribunal's powers under its Rules of  
23 Procedure.

24           It is not by chance that there is a highly developed  
25 procedure for Preliminary Objections in inter-State litigation.

09:50 1 Jurisdiction in State-to-State cases flows from the consent of  
2 States. No State may be brought before an international court  
3 or a tribunal unless it consents thereto. That does not, of  
4 course, mean that the State is the sole judge of whether it has  
5 consented. The principle of consent goes hand-in-hand with the  
6 Compétence de la Compétence. But it does mean that a State  
7 should not be brought before an international court or tribunal  
8 and required to defend itself on the merits where there are  
9 real doubts about jurisdiction, and that question has not been  
10 decided.

11           I would recall that in the ICAO Council case, the ICJ  
12 referred to, and I quote, "an essential point of legal  
13 principle...namely, that a party should not have to give an  
14 account of itself on issues of merits before a tribunal which  
15 lacks jurisdiction on the matter or whose jurisdiction has not  
16 yet been established." That's Page 56 of the transcript of the  
17 judgment, and you will find the relevant passage on Page 34 of  
18 the folders which we have provided.

19           As the ICJ said at Paragraph 51 of its 2007  
20 Preliminary Objections judgment in Nicaragua Colombia--that's  
21 at Page 40 of the bundle--"In principle, a party raising  
22 preliminary objections is entitled to have these objections  
23 answered at the preliminary stage of the proceedings unless the  
24 Court does not have before it all facts necessary to decide the  
25 questions raised or if answering the preliminary objection

09:51 1 would determine the dispute, or some element thereof, on the  
2 merits."

3                 The adverse consequences if States could be brought  
4 before international courts and tribunals whose jurisdiction  
5 was unresolved and required to defend themselves on the merits  
6 on the matters that may be of great sensitivity, such as  
7 sovereignty, are, we say, obvious.

8                 Another reason for the institution of Preliminary  
9 Objections is the good administration of justice. It is  
10 fundamental to the good administration of justice that the  
11 proceedings be conducted efficiently and economically,  
12 consistent always with doing justice.

13                 Mr. President, it may be useful to look at points of  
14 agreement and disagreement between the Parties. The Parties do  
15 seem to be in substantial agreement on certain matters:

16                 First, that the governing provision is Article 11 of  
17 the Rules of Procedure;

18                 Second, that Article 11 itself does not lay down any  
19 test or criteria for the decision which you have to take  
20 following today's hearing;

21                 Third, that Article 11 differs in an important respect  
22 from Article 79 of the ICJ Rules and Article 97 of the ITLOS  
23 Rules.

24                 Each of these provides for automatic suspension of the  
25 proceedings on the merits if a party raises Preliminary

09:53 1 Objections.

2                  Fourth, that for the application of Article 11,  
3 guidance should be sought in the general principles of  
4 international law relating to the handling of Preliminary  
5 Objections as evidenced by the practice of international courts  
6 and tribunals, in particular the ICJ.

7                  But there are also key points of disagreement. These  
8 may be summarized as follows:

9                  First, disagreement on the approach which should be  
10 adopted by the Tribunal in reaching its decision following  
11 today's hearing. In particular, we disagree on (a) whether  
12 there is a presumption that Preliminary Objections will or will  
13 not be heard at a Preliminary Objections phase and (b) on the  
14 role of the exclusively preliminary character test at the  
15 present stage and the practice in its application at the  
16 Preliminary Objections phase.

17                And, second, we disagree on whether applying the  
18 relevant approach to each of our Preliminary Objections the  
19 Tribunal should or should not defer one or more on them to the  
20 Final Award, and Mr. Wordsworth will address this.

21                Mr. President, Mauritius says in its Written  
22 Observations that it agrees with what it terms the U.K.'s  
23 ultimate conclusion, that the applicable test is whether the  
24 objection has an exclusively preliminary character. That is  
25 not, in fact, what the U.K. said. At Paragraph 6.4 of our

09:55 1 Preliminary Objections, we said, "In addressing this  
2 matter"--that is the practice of the ICJ and ITLOS--"one  
3 important factor that has to be emphasized is "whether the  
4 facts and arguments in support of...Preliminary Objections are  
5 in significant measure the same as the facts and arguments on  
6 which the merits of the case depend," and whether the  
7 objections are of an exclusively preliminary character.

8 That, of course, picks up the language of Guyana v.  
9 Suriname, Order Number 2, to which I shall return.

10 In our submission, the proper approach is for you to  
11 determine whether you are able to conclude on the basis of the  
12 written pleadings to date and today's hearing and without a  
13 full hearing on Preliminary Objections that the Preliminary  
14 Objections cannot be resolved at a Preliminary Objections phase  
15 and must, therefore, be deferred to the Final Award. If you're  
16 not able to reach that conclusion, then, we submit, the  
17 Preliminary Objections should be dealt with at a separate  
18 Preliminary Objections phase.

19 Mr. President, Members of the Tribunal, the starting  
20 point for a consideration of the proper approach is UNCLOS and  
21 the Tribunal's Rules. Like the ITLOS and ICJ Statutes, Part XV  
22 and Annex VII of UNCLOS offer limited guidance. Article 288(4)  
23 provides that, in the event of a dispute as to whether a court  
24 or tribunal has jurisdiction, the matter shall be settled by  
25 decision of that court or tribunal. This is an explicit

09:57 1 statement of Compétence de la Compétence, which we find  
2 reflected in Article 11(1) of the Rules of Procedure.

3                 Article 294 is also of interest. This, you will  
4 recall, sets out a unique preliminary proceedings procedure  
5 never so far invoked. Its Paragraph 3 states that, "Nothing in  
6 this Article affects the right of any Party to a dispute to  
7 make preliminary objections in accordance with the applicable  
8 Rules of Procedure." An express reference in the Convention to  
9 the right to make preliminary objections.

10               I would also recall, as you did in your Reasoned  
11 Decision on Challenge, that Part XV establishes a unified  
12 system for settlement of disputes concerning the interpretation  
13 and application of the provisions of the Convention, what you  
14 referred to as the comprehensive dispute settlement framework  
15 created by the Convention. That was Paragraph 168.

16               I will now turn briefly to the Tribunal's Rules of  
17 Procedure. Article 11, which you will find at Page 2 of the  
18 bundle that we have provided, is entitled "Preliminary  
19 Objections," and it makes separate and express provision for  
20 Preliminary Objections.

21               Paragraph 1 provides that the Tribunal shall have the  
22 power to rule on objections to jurisdiction.

23               Paragraph 2 specifies when a submission that the  
24 Tribunal does not have jurisdiction--specifies when a  
25 submission that the Tribunal does not have jurisdiction shall

09:59 1 be made. 2(a) provides that, and I quote, "Where the United  
2 Kingdom requests that the submissions be dealt with as a  
3 preliminary issue"--we have so requested--"the submission shall  
4 be made as soon as possible but not later than three months  
5 from the time of the filing of the Memorial." That time limit  
6 reflects the ICJ Rules as amended in the Year 2000. The  
7 Preliminary Objections were filed within that time limit.

8           I note in passing that Paragraph 2 reflects the  
9 familiar distinction between objections to jurisdiction that  
10 are raised as Preliminary Objections and objections to  
11 jurisdiction that are not so raised and which are, therefore,  
12 usually dealt with at the merits stage.

13           Paragraph 3 reads: "The Arbitral Tribunal may, after  
14 ascertaining the views of the Parties, determine whether  
15 objections to jurisdiction or admissibility shall be addressed  
16 as a preliminary matter or deferred to the Tribunal's Final  
17 Award. If either Party so requests, the Arbitral Tribunal  
18 shall hold hearings prior to ruling on any Objection to  
19 Jurisdiction or admissibility.

20           And then Paragraphs 4 and 5 concern the procedure for  
21 today's hearing.

22           It is necessary to say a word about the drafting  
23 history of Article 11(3). Mauritius has suggested that this  
24 paragraph is materially identical to Article 10(3) in the  
25 Guyana-Suriname Rules, and that the Parties used those as a

10:01 1 precedent for drafting the rules of procedure. They say that  
2 at Paragraph 8 of their Written Observations. The  
3 Guyana-Suriname Rules were one of the set of Rules that the  
4 Parties looked at, but by no means the only one.

5 More importantly, while Paragraphs 1 and 2 of  
6 Article 11 are identical to the Guyana-Suriname Rules and the  
7 MOX Plant Rules and the Trinidad and Tobago and Barbados Rules,  
8 Paragraph 3 is quite different and was the subject of extensive  
9 exchanges between the Parties and with the Tribunal. Mauritius  
10 did, indeed, seek to include a Paragraph 3 that was identical  
11 to the Guyana-Suriname rule. The U.K. made a counterproposal.  
12 The current Paragraph 3 was proposed to the Parties by the  
13 Tribunal itself when they were unable to agree on a text.

14 In signifying U.K. acceptance of the Tribunal's  
15 proposal, the U.K. Agent wrote on 24 February 2012 as follows;  
16 I quote: "The U.K. considers that there is likely to be a  
17 series of important jurisdictional issues for the Tribunal to  
18 determine on, each of which is unusually well suited to  
19 consideration at a separate jurisdictional phase. Against this  
20 backdrop, the U.K. considers it is very likely to request that  
21 there should be a discrete jurisdictional phase and is,  
22 therefore, able to accept the Tribunal's proposed wording which  
23 it considers to be consistent with the rule at Article 22(4) of  
24 the PCA Optional Rules for arbitrating disputes between two  
25 States; namely, that in general, an arbitral tribunal should

10:03 1 rule on a plea concerning jurisdiction or admissibility as a  
2 preliminary question.

3 Mauritius, on the other hand, wrote on 27 February  
4 saying that the Tribunal's text did, and I quote, "not  
5 prejudice in any way (a) whether an Objection to Jurisdiction  
6 would be bifurcated or joined to the merits and (b) whether the  
7 issue of bifurcation or joining to the merits should itself be  
8 the subject of the hearing." So, it follows that the  
9 difference being aired today were flagged up at the time the  
10 Rules were adopted.

11 Mr. President, I turn back to the actual language of  
12 Paragraph 3. Paragraph 3 contains two important provisions,  
13 both of which, in our view, point towards a Preliminary  
14 Objections phase as the natural route to be followed. We first  
15 read that the Tribunal may, after ascertaining the views of the  
16 Parties, determine whether objections to jurisdiction or  
17 admissibility shall be addressed as a preliminary matter or  
18 deferred to the Tribunal's Final Award. The Article thus  
19 speaks of deferring the objection to the Final Award. To defer  
20 is to delay or cause to be delayed until the future; postpone.  
21 Collins English Dictionary.

22 Next comes a sentence saying that, if either Party so  
23 requests, the Arbitral Tribunal shall hold hearings prior to  
24 ruling on any objections to jurisdiction or admissibility. The  
25 United Kingdom has so requested. It is, therefore, clear, that

10:04 1 the Tribunal must hold hearings prior to ruling on the  
2 Preliminary Objections. In our submission, the language of the  
3 Rules points towards hearings on jurisdiction that are separate  
4 from the hearings on the merits.

5 Mr. President and Members of the Tribunal, turning to  
6 the approach to be adopted, I would first note, as Mauritius  
7 said at Paragraph 17 of its Written Observations, that the  
8 Rules of Procedure do not identify the criteria to be applied  
9 by the Tribunal in determining the timing of a hearing--the  
10 timing of the hearings mandated by the Rules. That is true.  
11 They do not establish any specific test or approach to be  
12 applied.

13 You will recall that in the Reasoned Decision on  
14 Challenge, you decided that, and I quote, "The law to be  
15 applied in the present arbitration is that to be found in Annex  
16 VII of the Convention supplemented by the law and practice of  
17 international courts and tribunals in inter-State cases." That  
18 was at Paragraph 165. And in considering the proper  
19 construction of Article 11 of the Rules, it is, indeed, helpful  
20 to look in particular at the law and practice of other courts  
21 and tribunals that may exercise jurisdiction under Part XV of  
22 UNCLOS; that is, ITLOS, the ICJ, and Annex VII tribunals.

23 Mauritius has adopted a similar approach at  
24 Paragraph 17 of its Written Observations. It submits that, and  
25 I quote, "It is appropriate for the Tribunal to take into

10:06 1 account general principles of international law and the  
2 practice of other courts and tribunals. In doing so, however,  
3 the Tribunal needs to bear in mind the point that I referred to  
4 earlier; namely, that in the case of the ICJ or ITLOS, the  
5 decision on whether the preliminary objection, though raised as  
6 such, should nevertheless be deferred to the merits stage is  
7 only taken following a Preliminary Objections phase, not after  
8 the brief exchange of written pleadings and a short procedural  
9 hearing like the present one, the purpose of which is not to go  
10 into to the substance of the Preliminary Objections.

11           It is instructive to recall the development of the ICJ  
12 Rules on Preliminary Objections. This was explained by the  
13 Court at Paragraphs 39 to 41 of its 1986 Nicaragua Judgment.  
14 It's also dealt with in Judge Jiménez de Aréchaga's celebrated  
15 article in the 1973 American Journal. The aim of the changes  
16 in 1972 was to reduce the Court's broad power under the former  
17 rules dating from 1936, but based on earlier practice to join  
18 the preliminary objection to the merits whenever the interests  
19 of the good administration of justice so require.

20           As the Court put it in Nicaragua, Paragraph 39, "If  
21 this power was exercised, there was always a risk; namely, that  
22 the Court would ultimately decide the case on the basis of the  
23 preliminary objection after requiring the Parties fully to  
24 plead the merits. And this did, in fact, occur (Barcelona  
25 Traction). The result was regarded in some quarters as an

10:08 1 unnecessary prolongation of an expensive and time-consuming  
2 procedure."

3               And the Court went on to note at Paragraph 40 that the  
4 solution of considering all Preliminary Objections immediately  
5 and rejecting all possibility of a joinder to the merits had  
6 many advocates and presented many advantages. To find out, for  
7 instance, whether there is a dispute between the Parties or  
8 whether the Court has jurisdiction does not normally require an  
9 analysis of the merits of the case.

10              Under the new Rules of Court as adopted in 1972, the  
11 Court no longer has that broad power to join preliminary  
12 objections to the merits, and you will find Article 79 of the  
13 current Rules at Page 4 of the bundle.

14              Article 79(9), which was 79(7) in the 1972 Rules,  
15 provides that after hearing the Parties, the Court shall give  
16 its decision in the form of a judgment by which it shall either  
17 uphold the objection, reject it, or declare that the objection  
18 does not possess in the circumstances of the case an  
19 exclusively preliminary character. If the Court rejects the  
20 objection or declares that it does not possess an exclusively  
21 preliminary character, it shall fix time limits for the further  
22 proceedings.

23              It's important to note that at the same time a  
24 paragraph was added to Article 79 in order to ensure that the  
25 Court would be in a position to determine its jurisdiction at

10:10 1 the preliminary stage, even where that required the Parties to  
2 argue questions of fact and law that would normally be argued  
3 at the merits stage. Paragraph 8 of Rule 79 reads: "In order  
4 to enable the Court to determine its jurisdiction"--this is  
5 limited to objections to jurisdiction--"at the preliminary  
6 stage, the Court, whenever necessary, may call upon the Parties  
7 to argue all questions of law and fact and to adduce all  
8 evidence which bears on the issue."

9           A similar provision is to be found in the Paragraph 5  
10 of Article 97 of the ITLOS rules. While your Rule 11 does not  
11 expressly provide for such a power, it is clearly inherent in  
12 the other provisions of your Rules.

13           Professor Talmon has explained the resulting position  
14 in the following terms, at marginal Note 179 of his commentary  
15 on Article 43 of the Court's statute, and you will find that  
16 passage right at the top of Page 9 in the bundle, and I quote:  
17 "Rather than carrying the preliminary objections over into the  
18 merits phase, questions of fact and law touching upon the  
19 merits are now brought forward into the jurisdictional phase to  
20 dispose of the objections at the earliest possible stage of the  
21 proceedings."

22           And he continued, about a third of the way down the  
23 page: "While the Court may hear argument at the preliminary  
24 stage of the proceedings on questions of fact and law touching  
25 upon the merits, it may not at that stage decide or pre-judge

10:11 1 the dispute or some elements thereof on the merits. Thus,  
2 under the present Rules, objections shall be decided at the  
3 preliminary stage wherever reasonably possible: In dubio  
4 preliminarium eligendum.

5 "This also seems to be in line"--this is still  
6 Professor Talmon--"this also seems to be in line with the  
7 approach taken by the Court, which has been very cautious in  
8 declaring an objection to be not exclusively preliminary in  
9 character, and, in fact, has done so only on three occasions."  
10 Since the adoption of the new rule in 1972--that is over 40  
11 years ago--over the last 40 years, the Court has only found  
12 that three of the many Preliminary Objections that had been  
13 presented to it were not exclusively preliminary. All the rest  
14 the Court either accepted or rejected at the preliminary  
15 objections phase. The three cases are Nicaragua, Lockerbie,  
16 and Cameroon-Nigeria. Each is mentioned by Mauritius in its  
17 Written Observations, but without going into detail. It is  
18 necessary to look briefly at the details in order to understand  
19 the significance of these three cases, and to see how very  
20 different they are from the Preliminary Objections before this  
21 Tribunal.

22 In Nicaragua, the Court considered the effect of the  
23 U.S. multilateral treaty reservation, the Vandenberg  
24 reservation in the U.S. Optional Clause Declaration. This, you  
25 will recall, required that all the Parties to a multilateral

10:13 1 treaty affected by the decision were also Parties to the case.  
2 And the Court noted that, it was only when the general lines of  
3 the judgment to be given became clear that the States affected  
4 could be identified. It had little difficulty, therefore, in  
5 concluding that the objection did not possess in the  
6 circumstance of the case an exclusively preliminary character.  
7 That's at Paragraphs 75 and 76 of the judgment.

8 In Lockerbie, the United Kingdom raised a preliminary  
9 objection to the admissibility--admissibility, not  
10 jurisdiction--of the Libyan claims, asking that the Court rule  
11 that intervening Security Council resolutions had rendered the  
12 claims without object. That's at Paragraph 47. The Court  
13 recalled the history of the rule change in 1972 and found that  
14 the objection was not exclusively preliminary in character  
15 because it would involve at least two decisions that went to  
16 the merits: "That the rights claimed by Libya under the  
17 Montreal Convention are incompatible with its obligations under  
18 the Security Council resolutions"; and "that those obligations  
19 prevail over those rights by virtue of Articles 25 and 103 of  
20 the Charter.

21 The Court, therefore, has no doubt, and I quote, 'that  
22 Libya's rights on the merits would not only be affected by a  
23 decision at this stage of the proceedings not to proceed to  
24 judgment on the merits, but would constitute in many respects  
25 the very subject matter of that decision. The objections, said

10:15 1 the Court, had the character of a defense on the merits."

2 That's at Paragraph 50.

3               In fact, the application of the not exclusively  
4 preliminary test in this case was controversial, and I would  
5 draw attention to the joint declaration of Judges Guillaume and  
6 Fleischhauer in which they described the decision as, running  
7 counter to the object and purpose of Article 79 of the Rules  
8 and setting a dangerous precedent for the future.

9               The third case is Cameroon-Nigeria. There, as you  
10 will recall, the Court rejected the first seven of Nigeria's  
11 Preliminary Objections. The eighth was to the effect that the  
12 prolongation of the maritime boundary delimitation would affect  
13 the rights of third States and was, to that extent,  
14 inadmissible. Again, admissibility, not jurisdiction.

15               In reaching the conclusion that this preliminary  
16 objection did not possess in the circumstances of the case an  
17 exclusively preliminary character, the Court stated at  
18 Paragraph 116--and you will find this passage on Page 65 of the  
19 bundle--that the Court cannot in the present case give a  
20 decision on the eighth preliminary objection as a preliminary  
21 matter. In order to determine where a prolonged maritime  
22 boundary beyond Point G would run, where and to what extent it  
23 would meet possible claims of other States and how its judgment  
24 would affect the rights and interests of these States, the  
25 Court would of necessity have to deal with the merits of

10:17 1 Cameroon's request.

2                   Consistent with the law and practice of ITLOS and the  
3 ICJ and also with the first sentence of Article 11(3) of the  
4 Tribunal's Rules, it is our submission that the Tribunal's  
5 powers under the Rules of Procedure are to be exercised in  
6 accordance with the principle that Preliminary Objections are  
7 to be dealt with at a preliminary objections phase unless there  
8 is some specific reason why this cannot be done. The most  
9 recent and authoritative expression of this approach is, as I'd  
10 noted earlier, to be found in the ICJ's Preliminary Objections  
11 judgment in Nicaragua-Colombia, and that case is particularly  
12 instructive.

13                  The judgment of 13 December 2007 contains a section  
14 entitled "the appropriate stage of proceedings for examination  
15 of Preliminary Objections." That's at pages 38 to 40 of our  
16 bundle. After setting out the different views of the Parties  
17 and recalling Article 79(9) of its Rules, the Court further  
18 recalled that in the Nuclear Tests cases, it emphasized that  
19 while examining questions of jurisdiction and admissibility, it  
20 is entitled, and in some circumstances may be required, to go  
21 into other questions which may not be strictly capable of  
22 classification, as matters of jurisdiction and admissibility  
23 but are of such a nature as to require examination before those  
24 matters.

25                  And the Court went on to say at Paragraph 51, "In

10:19 1 principle, a party raising preliminary objections is entitled  
2 to have these objections answered at the preliminary stage of  
3 the proceeding, unless the Court does not have before it all  
4 facts necessary to decide the questions raised, or if answering  
5 the preliminary objection would determine the dispute or some  
6 elements thereof on the merits. The Court finds itself in  
7 neither of these situations in the present case. The  
8 determination by the Court of its jurisdiction may touch upon  
9 certain aspects of the merits of the case," and then it refers  
10 to the German Interests in the Polish-Upper Silesia judgment.

11           Rather than referring to this recent case, our friends  
12 opposite have referred you to the Right of Passage judgment and  
13 the 1964 Barcelona Traction judgment which they anyway misread.  
14 In Barcelona Traction, for example, following an extended  
15 discussion, the ICJ concluded in the passage cited by  
16 Mauritius, "[The Court] will not [join the preliminary  
17 objection to the merits] except for good cause, seeing that the  
18 object of a preliminary objection is to avoid not merely a  
19 decision on but even any discussion of the merits." You will  
20 find that in the Reports at pages 43 to 44.

21           And our friends cite this passage but then distort its  
22 meaning to conclude that you cannot enter into any discussion  
23 of the merits at the preliminary objections phase. The Court  
24 was not saying that at all. It was saying that the purpose of  
25 Preliminary Objections, from the perspective of the objecting

10:21 1 State was not only to avoid a merits decision, but also to  
2 avoid any discussion of the merits as would occur in the usual  
3 course at the merits phase.

4           Returning for a moment to the Nicaragua-Colombia case,  
5 Judge Keith well expressed the policy considerations behind  
6 preliminary objections in his Declaration. In Paragraph 1 he  
7 said the following: "The Court has the power and the  
8 responsibility, when it may properly do so, to decide at a  
9 preliminary stage of a case a matter in dispute between the  
10 Parties if deciding that matter will facilitate the resolution  
11 of the case. That power and responsibility arises from the  
12 principle of the good administration of justice."

13           And he went on to say, "The Court should not leave  
14 unresolved for later and further argument a matter which in the  
15 particular circumstances of the case may be properly decided at  
16 that earlier stage."

17           It has been seen that the powers of the ICJ and ITLOS  
18 to find that a preliminary objection is not exclusively  
19 preliminary are narrowly confined and not exercised lightly,  
20 and that it is after a full hearing of the Preliminary  
21 Objections that it takes such decision. The power of a  
22 Tribunal to decide without such a full hearing that a  
23 preliminary objection should be deferred to the merits should  
24 be exercised at least as cautiously, if not more so, if the  
25 right of the objecting State is not to be overridden without

10:22 1 proper cause.

2               Of course, there are some cases where there are  
3 concerns, as, for example, in Guyana-Suriname where a State  
4 raises preliminary objections that are not serious simply to  
5 gain time, but that is not our case, and I do not believe  
6 Mauritius has suggested otherwise.

7               Order Number 2 in Guyana-Suriname--Pages 67-68 of our  
8 bundle--in Paragraph 2, the Tribunal unanimously decided and  
9 ordered that, "because the facts and argument in support of  
10 Suriname's submissions on its Preliminary Objections are in  
11 significant measure the same as the facts and arguments on  
12 which of the merits case depend and the objections are not of  
13 an exclusively preliminary character, the Tribunal does not  
14 consider it appropriate to rule on the Preliminary Objections  
15 at this stage." This paragraph helps to explain what "not of  
16 an exclusively preliminary character" means, and in our  
17 submission sets out a single test in terms very similar to  
18 those used by Rosenne in his study of the Court. He wrote, and  
19 you will find this at Page 18 of the bundle that: "As a rough  
20 rule of thumb, it is probable that when the facts and arguments  
21 in support of the objection are substantially the same as the  
22 facts and arguments on which the merits of a case depend, or  
23 when to decide the objection would require a decision on what  
24 in the particular case are substantive aspects of the merits,  
25 the plea is not an objection but a defense to the merits."

10:24 1 It was because the Guyana-Suriname Tribunal was able,  
2 based on the written proceedings and the one day procedural  
3 hearing to decide that the facts and arguments in support of  
4 Suriname's submissions in its Preliminary Objections were in  
5 significant measure the same as the facts and arguments on  
6 which the merits of the case depended that it decided to forego  
7 a preliminary objections phase. On the basis of the  
8 preliminary objections that had been put forward, that was an  
9 unsurprising conclusion. But in any event, the Tribunal's  
10 decision on the matter proved to have been absolutely right, in  
11 that at Paragraph 280 of its Award the Tribunal dismissed  
12 Suriname's Objection to Jurisdiction in a single sentence.

13 The purpose of Article 11(3) is to give the Tribunal a  
14 measure of flexibility in dealing with the case, where a State  
15 would otherwise employ Preliminary Objections as a delaying  
16 tactic or because the facts and arguments pleaded in support  
17 are in significant measure the same as those on which the  
18 merits of the case depend. Outside these two clear situations,  
19 there would appear to be no justification and we would say no  
20 power under the Rules properly construed for the exercise of  
21 the power to require Preliminary Objections to be deferred to  
22 the hearing of the merits.

23 Another main purpose of the Preliminary Objections  
24 procedure is to seek to avoid a lengthy, costly, and  
25 unnecessary merits phase. Mauritius itself has not shown that

10:26 1 a preliminary objections phase would be lengthy, costly, and  
2 unnecessary. On the contrary, on its own argument, the very  
3 same issues about jurisdiction would need to be gone into only  
4 at a later stage and alongside full argument on the merits. We  
5 see no reason why there should be much, if any, saving. But,  
6 of course, if the United Kingdom's Preliminary Objections were  
7 upheld, there would be very considerable savings.

8           The right approach at the present stage of the  
9 proceedings, in our submission, under the Rules read in the  
10 light of international practice and case law, is for the  
11 Tribunal to determine whether it is in a position to conclude  
12 now on the basis of the written pleadings so far and the  
13 present hearing, and without a full hearing on the Preliminary  
14 Objections, that a preliminary objection should be deferred to  
15 the Final Award. That is disposed of only at the merits stage.

16           If the Tribunal cannot conclude without a Preliminary  
17 Objections hearing that it (a) does not have before it all the  
18 facts necessary to decide the questions raised on the  
19 preliminary objection or (b) answering the preliminary  
20 objection would determine the dispute or some elements thereof  
21 on the merits, then, in our submission, it should hear the  
22 preliminary objection first as a preliminary matter in the  
23 usual way.

24           Mauritius suggests that the U.K.'s request involves an  
25 attempt to terminate Mauritius's claim without any

10:28 1 consideration of the underlying merits. Yet the whole purpose  
2 of the institution of Preliminary Objections is precisely, in  
3 appropriate cases, to enable claims to be disposed of without  
4 consideration of the underlying merits. As Judges Guillaume  
5 and Fleischhauer said in their Joint Declaration in Lockerbie,  
6 "That acceptance of the preliminary objection of the United  
7 Kingdom would have brought the case to an end is also not an  
8 argument against its exclusively preliminary character: The  
9 ending of a case is the intention of every preliminary  
10 objection."

11                   Mr. President, Members of the Tribunal, that concludes  
12 what I have to say on the approach that we say should be  
13 adopted by the Tribunal, and may I now ask you to invite  
14 Mr. Wordsworth to the podium.

15                   PRESIDENT SHEARER: Thank you.

16                   Now I call upon Mr. Wordsworth.

17                   MR. WORDSWORTH: Mr. President, Members of the  
18 Tribunal, I want to start by looking briefly at how Mauritius  
19 has put its claim before turning to the details of the U.K.'s  
20 individual Preliminary Objections and our position on why each  
21 of these is suitable for determination as a preliminary matter.

22                   The claim is summarized at Paragraph 1.3 of  
23 Mauritius's Memorial and again in similar terms at  
24 Paragraph 5.2 in Mauritius's chapter on jurisdiction--that's  
25 Chapter 5--and it's worth taking you briefly to what Mauritius

10:30 1 says at Paragraph 1.3, just so you have the broad overview that  
2 Mauritius gives of its own claim.

3 It says: "Mauritius's case is that the MPA is  
4 unlawful under the Convention because it is a regime which has  
5 been imposed by a State which has no authority to act as it has  
6 done."

7 There are two parts to the argument: "First, the U.K.  
8 does not have any sovereignty over the Chagos Archipelago. It  
9 is not the coastal State for the purposes of the Convention and  
10 cannot declare an MPA or other maritime zones in this area.

11 Further, the U.K. has acknowledged the rights and the  
12 legitimate interests of Mauritius in relation to the Chagos  
13 Archipelago, such that the U.K. is not entitled in the law  
14 under the Convention to impose the purported MPA or establish  
15 the maritime zones over the objections of Mauritius." That's  
16 the first element of the claim.

17 Secondly, it continues, "independently of the question  
18 of sovereignty"--so the first element is all about the question  
19 of sovereignty--"independently of the question of sovereignty,  
20 the MPA is fundamentally incompatible with the rights and  
21 obligations provided for by the Convention. This means that  
22 even if the U.K. were entitled in principle to exercise the  
23 rights of a coastal State quod non, the purported establishment  
24 of the MPA is unlawful under the Convention."

25 So, the claim is divided by Mauritius into elements of

10:31 1 the claim that depend on and are independent of sovereignty,  
2 and these differing heads of claim give rise to different  
3 Preliminary Objections on the part of the United Kingdom.

4           The U.K.'s first preliminary objection is to the  
5 Tribunal's jurisdiction over the claim that the U.K. does not  
6 have sovereignty over the so-called "Chagos Archipelago," and  
7 is not the coastal State, et cetera. This objection is made on  
8 the basis that the determination of sovereignty on which the  
9 claim clearly depends falls outside the scope of the Tribunal's  
10 jurisdiction under Article 288(1) of UNCLOS--a critical  
11 provision, of course--that is, the Tribunal's jurisdiction to  
12 decide disputes concerning the interpretation or application of  
13 the provisions of UNCLOS.

14           The second preliminary objection is that the  
15 requirements of Article 283--283(1), I should say, have not  
16 been met; i.e., there was no dispute and there has been no  
17 exchange of views both of which are jurisdictional requirements  
18 to the making of a claim under Section 2 of Part XV as follows  
19 from Articles 283(1) and 286.

20           Mauritius says that there has been a concession, that  
21 the necessary Article 283 exchange of views has taken place so  
22 far as concerns the sovereignty claim. That's not correct, and  
23 I will come back to that point in due course.

24           By contrast, the third objection does concern the  
25 non-sovereignty aspects of the claim alone and is made on the

10:33 1 grounds that these fall outside the scope of jurisdiction  
2 established in Part XV principally by virtue of Article 297 of  
3 UNCLOS.

4           Each of the three Preliminary Objections made by the  
5 U.K. turns solely on the scope of consent to compulsory dispute  
6 settlement within Part XV of UNCLOS, and is readily  
7 identifiable as precisely the type of jurisdiction objection  
8 that lends itself to determination as a preliminary matter and,  
9 indeed, is regularly addressed as such. Their determination  
10 requires that the Tribunal interpret the jurisdictional  
11 provisions of Part XV and apply these in the light of the  
12 alleged dispute and the record of exchanges leading up to the  
13 arbitral claim, as has been done at a preliminary phase on  
14 countless other occasions by international courts and  
15 tribunals.

16           I turn to the individual objections in more detail.

17           The first preliminary objection is made because  
18 Mauritius's claim for breaches of Articles 2(1), 55, 76, 77,  
19 and 81 of UNCLOS are wholly dependent on this Tribunal making  
20 prior determinations as to the U.K. lacking sovereignty over  
21 the British Indian Overseas Territory, such that it is not or  
22 somehow cannot act as the coastal State for the purposes of  
23 those provisions of UNCLOS.

24           Along the way, as we identified at Paragraph 3.35 of  
25 the U.K.'s Preliminary Objections, the Tribunal is being asked

10:35 1 by Mauritius to determine first that the detachment of the  
2 Chagos Archipelago was contrary to rights of self-determination  
3 that Mauritius is entitled to assert, and this, in turn,  
4 comprises a series of findings that you, the Tribunal, are  
5 going to have to make on Mauritius's case as to the relevant  
6 units of self-determination and the competence of the General  
7 Assembly to interpret the right of such self-determination.

8           Secondly, the Tribunal is being asked to determine  
9 that there was no valid agreement to the detachment of the  
10 Chagos Archipelago.

11           Thirdly, that Mauritius has continuously asserted its  
12 sovereignty over the Chagos Archipelago and that the United  
13 Kingdom has recognized that sovereignty in certain respects.

14           Fourthly, that Mauritius thus has retained sovereignty  
15 over the Chagos Archipelago and is the or a coastal State for  
16 the purposes of UNCLOS.

17           And, in addition, you're being asked to find that the  
18 United Kingdom has, in any event, given a series of enforceable  
19 undertakings that denied the United Kingdom the entitlement to  
20 act as the coastal State within the meaning of the 1982  
21 Convention.

22           Now, Mauritius has not now suggested that we are wrong  
23 about any of this. It has not said that you can somehow avoid  
24 deciding the issue of sovereignty, although it does seek to  
25 play down the critical determinations by saying, and I quote,

10:37 1 Paragraph 39 of its Written Observations, "that the question of  
2 sovereignty arises incidentally to the maritime issue, which  
3 the Tribunal must decide."

4                 The U.K.'s point--and it's a straightforward one in  
5 the sense that it turns on the meaning of Article 288(1) UNCLOS  
6 and also Articles 297 and 298 on which Mauritius relies in  
7 order to establish your jurisdiction--is that the Tribunal  
8 lacks jurisdiction to decide what Mauritius itself recognizes  
9 is a question of sovereignty.

10                 As to Article 288(1), the Tribunal has no jurisdiction  
11 to decide disputes that do not concern the interpretation or  
12 application of UNCLOS. Mauritius disagrees and says that this  
13 all turns on the interpretation and application of the words  
14 "the coastal State." The basic argument is set out at  
15 Paragraph 5.2 of Mauritius's Memorial, and it's developed at  
16 Paragraph 5.26, where it's even said that, I quote, "There is  
17 ample authority in support of the proposition that a court or  
18 tribunal, acting under Part XV of the Convention, has  
19 jurisdiction to decide whether a State is a coastal State."

20                 The United Kingdom disagrees with the point of  
21 principle in the strongest of terms, and I note that in the  
22 Memorial there is no clue whatsoever as to where this ample  
23 authority is to be found.

24                 In fact, Mauritius's argument is flatly inconsistent  
25 with the wording, negotiating history, and broad intent behind

10:38 1 Part XV. Nor, as the U.K. explained at Paragraphs 3.20 and  
2 following of its Preliminary Objections, can Article 293 be  
3 used as a conduit through which to introduce sources of law  
4 which the Tribunal has no jurisdiction to apply, a point that  
5 would appear in line with the Separate Opinions of judges  
6 Wölfrum and Cot in the recent Libertad case before ITLOS.

7 These are all legal issues that are suitable for and,  
8 indeed, cry out for determination as a preliminary matter. As  
9 to Article 297(1), Mauritius's argument is that this merely  
10 establishes exclusions, I quote, "with regard to the exercise  
11 by a coastal State of its sovereign rights or jurisdiction."  
12 That's a quote, of course, from 297(1) itself. And Mauritius  
13 says that there is nothing to exclude disputes over whether a  
14 State is a coastal State in the first place. That's  
15 Mauritius's Memorial at Paragraph 5.25.

16 The U.K. has identified its position in its  
17 Preliminary Objections Paragraph 3.40; so far as concerns  
18 suitability for hearing as a preliminary matter, the Tribunal  
19 will no doubt be able to resolve the issue on interpretation in  
20 short order. The U.K. considers that it would have been  
21 bizarre to agree in Article 297(1) to a restriction on disputes  
22 concerning the exercise of sovereign rights, and yet to agree  
23 at the same time to jurisdiction over the anterior and more  
24 fundamental question as to whether the sovereign right existed  
25 in the first place.

10:40 1           Indeed, Article 297(3)(a) shows that this was not the  
2 case, at least as far as concerns the EEZ; and, of itself, this  
3 knocks out a large part of the sovereignty claim.

4           As to Mauritius's reliance on Article 298(1)(a), this  
5 opt-out provision in fact demonstrates how a court or tribunal  
6 under Part XV could not have the jurisdiction or incidental  
7 jurisdiction that Mauritius contends for.

8           Supposing it is accepted solely for the purposes of  
9 this part of the argument that this provision is correctly  
10 interpreted as implying that whether there is no Article  
11 298(1)(a) Declaration excluding jurisdiction a court or  
12 Tribunal may rule on matters of territorial sovereignty that  
13 arise incidentally whether as a maritime delimitation dispute  
14 under Articles 15, 74, or 83 of the Convention. That's  
15 Mauritius's starting point.

16           This would merely demonstrate how it is inconceivable  
17 that States Parties to the Convention would have agreed to a  
18 determination of matters of territorial sovereignty that arose  
19 in other contexts without an equivalent opt-out provision.  
20 Yet, of course, there is no equivalent opt-out provision.

21           On Mauritius's case, wherever a State becomes a party  
22 to UNCLOS, it is at risk of determination of its territorial  
23 sovereignty in respect of the application of any of the many  
24 provisions that involve the rights and duties of the coastal  
25 State, with no opportunity of opting out from that jurisdiction

10:42 1 in contrast to the position with respect to Articles 15, 74,  
2 and 83 concerning maritime delimitation. In short, the absence  
3 of any such opt-out provision is a very obvious indicator that  
4 no jurisdiction over such questions of sovereignty was intended  
5 or established.

6 Now, Mauritius has not yet sought to answer that  
7 point. No doubt it would like to see such arguments lost  
8 amidst the issues on self-determination and the like, but these  
9 are discrete jurisdictional issues, and there is no basis for  
10 treating them as otherwise. Indeed, the very importance of the  
11 jurisdictional issues that Mauritius's sovereignty claim gives  
12 rise to and the potential ramifications so far as concerns  
13 UNCLOS States generally argue strongly in favor of their  
14 receiving the undivided attention of the Parties and the  
15 Tribunal in a preliminary phase.

16 So, what does Mauritius say? Its principal argument,  
17 as we understand it, is that the U.K. is asking the Tribunal to  
18 characterize the real dispute as one of sovereignty, which is  
19 something that cannot be done at the jurisdictional phase, and  
20 hence it says this preliminary objection should be deferred to  
21 the merits phase.

22 There are three answers to this:

23 First, the Tribunal can, of course, determine what the  
24 real issue in dispute is in the course of a separate  
25 jurisdictional phase. The whole point of provisions such as

10:44 1 Article 283 is that there is an identifiable dispute even prior  
2 to the initiation of proceedings. And as, for example, the  
3 April 2011 judgment of the ICJ in the Georgia and Russia case  
4 shows, Courts and tribunals will go to the required lengths at  
5 the jurisdictional phase to establish what, if any, disputes  
6 have arisen and when.

7           The dicta of the ICJ that the U.K. has relied on at  
8 Paragraph 3.1 of its Preliminary Objections that, I quote, "The  
9 Court will itself determine the real dispute that is being  
10 submitted to it," is taken from the decision at the preliminary  
11 objections phase in the Fisheries Jurisdiction, Spain and  
12 Canada, Para 31. This refers, in turn, to the decision at the  
13 preliminary objections phase in the Qatar and Bahrain case at  
14 pages 24 and 25.

15           The ICJ is not for one moment saying that you have to  
16 wait around before hearing all the evidence in the case to  
17 identify what the real dispute is, which is what Mauritius is  
18 now contending for.

19           Likewise, if it were essential--and it is not--the  
20 Tribunal could certainly make any necessary determinations as  
21 to whether Mauritius's right to say in its notably defensive  
22 stance that this is a *sui generis* case or how or whether this  
23 matters, which is by no means clear to us.

24           Secondly, it appears to be Mauritius's position that  
25 the issue of sovereignty, the question of sovereignty which is

10:46 1 how Mauritius puts it, is not the real issue in the case, and  
2 we see that from Paragraphs 30 and following of Mauritius's  
3 Written Observations. Well, if Mauritius's position is that,  
4 prior to its Notification, it made a claim that Declaration of  
5 the MPA was in breach of various provisions of UNCLOS because  
6 the U.K. is not the coastal State for the purposes of UNCLOS,  
7 no doubt that will be made clear in the submissions that we're  
8 about to hear. We are not aware of any such claim having been  
9 made prior to initiation of these proceedings, and the same  
10 applies to the non-sovereignty claims.

11           And, of course, the Tribunal is entitled to look at  
12 the records of diplomatic exchanges to see what the real  
13 dispute is. Indeed, the various ICJ cases we rely on say this  
14 in terms. You'll see the references to those ICJ cases, I  
15 should say, at Paragraph 3.1 of our Preliminary Objections.

16           Thirdly, however, this is all an irrelevance. The  
17 U.K.'s first preliminary objection is not dependent on the  
18 question of whether Mauritius's claim before the Tribunal is  
19 principally concerned with the long-standing dispute over  
20 sovereignty or whether Mauritius is or is not right to assert  
21 that its claim is *sui generis*. The objection turns on the  
22 question of whether the Tribunal has jurisdiction to decide  
23 this question of sovereignty. That is, as Mauritius has  
24 accepted, an essential part of its claims of breach of Articles  
25 2(1), 55, 76, 77, and 81 of UNCLOS.

10:48 1           The position of the U.K. is that the Tribunal does not  
2 have such jurisdiction, and this is regardless of whether those  
3 issues are characterized as central to or ancillary to or  
4 incidental to the claim.

5           And resolution of this preliminary objection does not  
6 require any decision on factual issues that are intertwined  
7 with the merits, as Mauritius would have the Tribunal believe.  
8 All that is required is that the Tribunal determine the scope  
9 of its jurisdiction to rule on the question of sovereignty,  
10 not, of course, to make the relevant rulings. And precisely  
11 the same applies with respect to alleged undertakings on which  
12 Mauritius relies as somehow restricting the U.K.'s sovereignty.

13           Now, I should add that this is all a million miles  
14 away from the jurisdictional objection under consideration in  
15 Guyana and Suriname, notwithstanding the suggestions being made  
16 in Mauritius's Skeleton Argument. There, the Tribunal was,  
17 indeed, being asked to decide what appeared to be a complex  
18 matter on the merits at the preliminary objections phase.

19           I'd invite the Tribunal at some stage to turn the  
20 pages of Suriname's Preliminary Objections of May 2005, a  
21 50-page document replete with maps and figures of which less  
22 than four pages--that is Chapter 4 in that particular  
23 preliminary objection--are devoted to explaining the  
24 jurisdictional objections. The pleadings is on the PCA Web  
25 site. But my basic point can be made by reference to Paragraph

10:49 1 4.14 of Suriname's pleadings, which reads as follows:

2 "Suriname submits that, for the Tribunal to determine in the  
3 present case that an unsettled dispute between the Parties  
4 exists, it is necessary and sufficient to determine that there  
5 is no agreement between the Parties as to the location of the  
6 land boundary terminus." So, no agreement on where the land  
7 boundary ends, which was a highly controversial matter on the  
8 merits.

9 Suriname continues: "That necessarily means that if  
10 there is a dispute between the Parties as to the location of  
11 the terminus, then the Tribunal lacks the authority to resolve  
12 it. As Suriname will demonstrate in the next chapter, there is  
13 no binding agreement on the land boundary terminus." So, in  
14 the very following chapter, Suriname dives into the merits to  
15 explain how it's right that there is no agreement or where the  
16 land boundary ends.

17 "Consequently"--and this is how the jurisdictional  
18 objection itself is formulated--"Consequently, the Tribunal  
19 does not have jurisdiction to determine any question relating  
20 to the land boundary, including the land terminus, and"--one  
21 almost takes a sort of deep breath to continue the legal  
22 argument--"and, accordingly, it follows that the Tribunal does  
23 not have jurisdiction to determine the maritime boundary  
24 between the Parties."

25 So, Suriname's position, and it might be said with

10:51 1 respect it's a rather convoluted position, turned on the  
2 absence of jurisdiction to decide a so-called "mixed dispute."  
3 That is, a maritime delimitation dispute that incidentally  
4 requires some determination of sovereignty over land territory.  
5 But in order even to get to that jurisdiction objection, the  
6 Tribunal first has to plunge into the merits to decide that  
7 there was, indeed, no agreed land boundary.

8                 The important point here, of course, is that there is  
9 no hint of an equivalent plunge that the United Kingdom is  
10 asking you to take in deciding its preliminary objection.

11                 This brings me to an oddity concerning how arguments  
12 relating to so-called "mixed disputes" are said by Mauritius to  
13 fit within the U.K.'s Preliminary Objections. According to  
14 Mauritius--and this is the argument that's stated out at  
15 Paragraphs 40 to 42 of its Written Observations and  
16 Paragraph 11 of its Skeleton Argument, the U.K. concedes that  
17 the question of whether jurisdiction under Part XV extends to  
18 mixed disputes is a matter of argument at the merits stage.  
19 So, apparently we have made a concession: Mixed issues, they  
20 go off to the merits. Well, of course, the U.K. has done no  
21 such thing.

22                 In light of that, I'm just going to have to touch  
23 briefly on what the U.K. does say about mixed disputes in its  
24 Preliminary Objections as Paragraphs 3.42 and following.  
25 There, the U.K. sets out two basic arguments:

10:53 1               First, it explains that the Tribunal need not enter  
2       into the detail of a debate on whether a court or tribunal  
3       under Part XV can determine both maritime boundaries and  
4       incidental territorial issues. You don't need to go there.  
5       And this is because Mauritius is, in fact, seeking an  
6       unwarranted, far-reaching, and entirely unsupported extension  
7       of the underlying concept, seeking to lift it from the discrete  
8       area of maritime delimitation so as to apply it in respect of  
9       any--of any, "other issues raised under the Convention."  
10      That's what it says at Paragraph 5.26 of the Memorial. Thus,  
11      the U.K.'s position is that, at a preliminary objections phase,  
12      the Tribunal can and should decide that the views that have  
13      been expressed on jurisdiction over the territorial aspects in  
14      mixed disputes do not assist Mauritius in its attempt to  
15      establish a so-called "incidental jurisdiction" in this case.  
16      This is not a maritime delimitation case. We never get into  
17      the debate over mixed disputes. That's a discrete legal issue  
18      entirely suitable for determination at a preliminary objections  
19      phase.

20               Secondly, we do enter into the mixed-disputes debate  
21      in our jurisdictional objections. We say the U.K.--that the  
22      proposition that issues of territorial sovereignty can be  
23      decided under Part XV in the context of maritime delimitation  
24      is controversial, it's not supported by Article 298(1)(a)  
25      UNCLOS or Articles 15, 74, and 83, and is anyway put forward as

10:55 1 being subject to limits.

2           And as to this last point, we referred to potential  
3 criteria that are put forward by Judge Treves, which the U.K.  
4 explained would not in any event be met. And that is because  
5 the central thrust of the claim is to seek determination of a  
6 long-standing dispute over territorial sovereignty.

7           In other words, whichever way one approaches the issue  
8 of incidental jurisdiction in a mixed dispute, and even if one  
9 were to accept that it is somehow applicable in the current  
10 context, Mauritius cannot meet the standards that are being  
11 suggested. As to how this can be thought to be an acceptance  
12 of the Tribunal's jurisdiction over mixed disputes is a matter  
13 for the merits, we are baffled.

14           In conclusion on this first preliminary objection,  
15 there is nothing here that points to a need to defer  
16 determination to the merits stage. There is nothing to suggest  
17 that the Tribunal would not at a Preliminary Objections phase  
18 have before it all facts necessary to decide the questions  
19 raised or that answering the preliminary objection would  
20 determine the dispute or some elements thereof. That is, of  
21 course, to refer to the Nicaragua and Cameroon case that Sir  
22 Michael just took you to.

23           If we are right that the sovereignty issue is the real  
24 dispute in the case, then resolution of this first preliminary  
25 objection in the U.K.'s favor may lead to dismissal of the

10:57 1 claim in its entirety. If we are wrong on that matter of  
2 characterization, or the Tribunal considers the non-sovereignty  
3 claims still constitute issues in dispute, then even leaving to  
4 one side the second and third Preliminary Objections  
5 determination of this first objection in the U.K.'s favor would  
6 reduce the scope of the case very significantly, indeed.

7 In addition, the separate consideration of this  
8 matter, the question of sovereignty, undistracted by the  
9 many--jurisdiction over the question of sovereignty I should  
10 emphasize--undistracted by the many issues that Mauritius has  
11 raised on the merits, would enable both Parties and the  
12 Tribunal to focus on a critical question as to the scope of  
13 jurisdiction enjoyed under Part XV, leading to an award that  
14 would be read with the closest attention by all actual or  
15 potential Parties to UNCLOS that exercised the rights of  
16 coastal States, in respect of any territory, island territory  
17 or other, over which sovereignty is either or may be contested.

18 I turn now to the U.K.'s second preliminary objection  
19 made by reference to Articles 283(1) and 286, which we consider  
20 to be an equally clear candidate for hearing as a preliminary  
21 matter. The Members of the Tribunal will be very familiar,  
22 indeed, with the application of Article 283 and equivalent  
23 jurisdictional provisions that require the existence of a  
24 dispute and some form of negotiations prior to the commencement  
25 of proceedings. The issue is straightforward, and Mauritius

10:58 1 has struggled to suggest otherwise. All that is required is  
2 for the Tribunal to interpret Article 283 looking at the  
3 relevant jurisprudence and to apply Article 283 in light of the  
4 record of diplomatic exchanges.

5 As to application, the position could not be more  
6 clear. There has never been any mention by Mauritius of any of  
7 the non-sovereignty claims, prior, of course, to the  
8 Notification of claim; and, hence, there was no dispute and no  
9 exchange of views within the meaning of Article 283.

10 This is particularly notable, I might say in the  
11 margins, given that many of the non-sovereignty claims  
12 concerned alleged failures of Notification and cooperation,  
13 matters which one would have thought be particularly well  
14 suited to early identification and an exchange of views with a  
15 view to resolution of a dispute.

16 When it comes to the sovereignty claim, as I mentioned  
17 earlier, Mauritius says that the U.K. has conceded that there  
18 has been an Article 283 exchange of views in relation to the  
19 question of sovereignty, and you can see that at Paragraph 15  
20 of its Skeleton Argument, picking up on the point from  
21 Paragraph 61 in the Written Observations. Well, as I said  
22 earlier, this is not correct.

23 Certainly, the second preliminary objection has to  
24 date been focused on the non-sovereignty claims, on the basis  
25 that you don't even get to Article 283 when looking at the

11:00 1 sovereignty claim as this is outside the jurisdictional scope  
2 of Part XV.

3                 But if Mauritius's position is that the claims now  
4 brought are different from the long-standing sovereignty  
5 dispute and they're to be seen as specific claims for breach by  
6 the U.K. through its Declaration of the MPA of certain  
7 provisions of UNCLOS that establish rights of the coastal  
8 State, it will, indeed, be for Mauritius to show that those  
9 claims were made prior to its notification and that there was  
10 an exchange of views both as required by Article 283. And I  
11 should say: There is absolutely nothing to suggest that this  
12 was the case.

13                 And in this respect, the Tribunal may wish to have in  
14 mind the decision last summer in Belgium and Senegal, where the  
15 ICJ distinguished between breaches of customary international  
16 law obligations to prosecute or extradite in respect of torture  
17 and similar claims made by reference to the UN Convention  
18 against torture. The Court found that it had jurisdiction only  
19 with relation to the latter because Belgium had never made any  
20 mention of a customary international law claim in the exchanges  
21 prior to making its application. By obvious analogy, the fact  
22 that there may have been exchanges on the long-standing issue  
23 of sovereignty, for example, as a matter of the customary rules  
24 on self-determination, does not mean that there has been any  
25 requisite exchange of views so far as concerns claims under

11:02 1 UNCLOS with respect to the MPA.

2 Now, the application of Article 283 is not, of course,  
3 a matter to be developed today. But the point is, insofar as  
4 there are legal or factual determinations to be made that go to  
5 whether a dispute has arisen and whether has been an exchange  
6 of views, it is absolutely standard for such matters to be  
7 decided in a jurisdictional phase, a discrete jurisdictional  
8 phase. Suggestions to the contrary in Mauritius's Written  
9 Observations are incorrect and unsupported by any authority.

10 It is said in the Written Observations at Paragraph 66  
11 that the Tribunal's task under Article 283 would not be  
12 confined, I quote, "as it may be in some cases to looking at a  
13 small number of Notes Verbales and assessing whether they  
14 indicate the subject matter of the dispute with sufficient  
15 clarity."

16 Well, in fact, so far as concerns the MPA, Mauritius  
17 relies for the purposes of Article 283 on around 20 bilateral  
18 exchanges in the Years 2009 and 2010, and I refer you to  
19 Paragraph 5.38 and Footnote 395 of Mauritius's Memorial.

20 To take a recent example, that might be compared with  
21 the 80 or more exchanges spanning a period of 17 years in many  
22 different fora, and concerning many different Parties that the  
23 ICJ sifted through to determine the Preliminary Objections on  
24 existence of a dispute and negotiations in the Georgia and  
25 Russia case. The Court or Tribunal can, of course, go through

11:04 1 a long record of exchanges--indeed a far longer record than in  
2 the current case--without deciding issues on the merits.

3           And if, as Mauritius is belatedly suggesting in its  
4 Written Observations and Skeleton Argument, the relevant  
5 record, in fact, goes back several decades and does not just  
6 cover 2009 and 2010 as it said in its Memorial, well, that  
7 makes no odds at all.

8           Mauritius also says at Paragraph 18 of its Skeleton  
9 Argument, that the U.K. is unrealistic to assert that this  
10 objection, "raises no issues of fact," and I can deal with that  
11 by turning to what the U.K., in fact, said, which is at  
12 Paragraph 6.13 of its Preliminary Objections. It says,  
13 referring to the Article 283 preliminary objection, "The  
14 preliminary objection raises no issues of fact, save as to any  
15 issues that may arise as to what was raised in any exchange of  
16 views upon which Mauritius may seek to rely."

17           So, of course, we say that you may have to and can  
18 look at facts so far as concerns the record of exchanges.

19           In sum, there is again no basis for concluding that  
20 the Tribunal would not have before it all facts necessary to  
21 decide the questions raised with respect to the Article 283  
22 preliminary objection or that answering the preliminary  
23 objection would determine the dispute or some elements thereof  
24 on the merits.

25           If objections such as the first and second objections

11:06 1 that go to the question of whether a given dispute falls within  
2 a compromissory clause or whether preconditions to jurisdiction  
3 have been met, are to be considered as unsuitable for  
4 determination at a preliminary stage, then one has to wonder  
5 when, if ever, there would be a separate preliminary objections  
6 phase.

7 I turn to the third preliminary objection, which  
8 concerns solely the claims of breach that, to borrow  
9 Mauritius's characterization at Paragraphs 1.3 and 5.2 of its  
10 Memorial, arise independently of the question of sovereignty.  
11 There are 10 individual allegations of breach where it's to be  
12 emphasized that the U.K.'s position is that not one of these  
13 was raised prior to commencement of the current proceedings.  
14 They are all new, and one never gets to these alleged breaches  
15 if the U.K. is right on the application of Article 283.

16 The preliminary objection turns largely on the  
17 interpretation and application of Article 297 of UNCLOS, which  
18 will have come as no surprise to Mauritius, given that in its  
19 identification of the asserted jurisdictional bases in its  
20 Memorial--that is, at Paragraph 5.35 of the Memorial--Mauritius  
21 took the trouble to explain in relation to each of the 10  
22 allegations of breach how jurisdiction was not excluded by  
23 virtue of Article 297. And it follows, naturally enough, that  
24 what disposition of this third preliminary objection requires  
25 is the interpretation of Article 297 and its application in

11:08 1 light of the specific allegations of breach made by Mauritius.

2                 The point is that we are still very firmly within the  
3 question of the scope of consent to jurisdiction under Part XV  
4 of UNCLOS, but we're now looking at limitations and exclusions  
5 to jurisdiction that flow from the express wording of  
6 Article 297.

7                 Of the 10 claims, three are said to fall within  
8 Article 297(1)(c); thus, it is said that these fall within  
9 Section 2 of Part XV--i.e., they fall within your  
10 jurisdiction--on the basis--and this is what Article 297(1)(c)  
11 says--that it is "alleged that a coastal State has acted in  
12 contravention of specified international rules and standards  
13 for the protection and preservation of the marine environment,  
14 which are applicable to the coastal State and which have been  
15 established by this Convention or through a competent  
16 international organization or diplomatic conference in  
17 accordance with this Convention." That's 297(1)(c).

18                 The U.K.'s point is simply that no allegations have  
19 been made that fall within this provision. Mauritius has  
20 pointed to no such specified international rules and standards,  
21 and its invocation of Articles 55, 62(5), and 194 of the  
22 Convention don't change that.

23                 Mauritius says we are wrong, but its point on lack of  
24 suitability for determination of this issue at a preliminary  
25 phase appears to be no more than the Tribunal would have to

11:09 1 look at evidence on the MPA. It's very unclear what evidence  
2 it has in mind. But, of course, insofar as considered  
3 necessary, the Tribunal can look at documents establishing the  
4 MPA at the preliminary objections phase and decide, for  
5 example, whether it's aimed at prevention of pollution, as  
6 Mauritius contends. The suggestion to the contrary is  
7 untenable. It would be like saying that in the investment  
8 treaty context the Tribunal couldn't decide at a jurisdictional  
9 phase whether there was a qualifying investor or investment, if  
10 this required some factual determination, or likewise whether  
11 the claim went beyond specified restrictions on the offer to  
12 arbitrate. The critical issue for determination is, whether,  
13 as a matter of Article 297(1)(c), Mauritius's claim invokes  
14 international rules and standards falling within that  
15 provision. That is an exercise that turns essentially on  
16 determinations of law and certainly not on disputed issues of  
17 fact, resolution of which would pre-judge issues on the merits.

18                 The next batch of claims concern alleged breaches of  
19 Article 63(1), 63(2), 64(1) UNCLOS and Article 7 of the 1995  
20 Agreement relating to the conservation and management of  
21 straddling fish stocks and highly migratory fish stocks. The  
22 main point here is that, as follows from the express exclusion  
23 of Article 297(3)(a), this Tribunal can have no jurisdiction  
24 over any dispute relating to sovereign rights with respect to  
25 the living resources in the Exclusive Economic Zone or their

11:11 1 exercise. The exclusion is quite straightforward as is its  
2 application in the context of a preliminary objections phase.

3 Mauritius's allegations in respect of access to or  
4 conservation of fisheries were that the U.K. must seek  
5 agreement and/or cooperate directly with Mauritius and relevant  
6 organizations fall squarely within the exception to  
7 jurisdiction that's established by 297(3) (a). That position is  
8 confirmed by findings in both the Southern Bluefin Tuna case  
9 and Barbados and Trinidad and Tobago, as explained in Chapter 5  
10 of the U.K.'s Preliminary Objections. To resolve the  
11 objection, there is no need to get into the alleged  
12 undertakings on which Mauritius relies.

13 And precisely the same applies to the U.K.'s  
14 objections that this Tribunal has, as a separate matter, no  
15 jurisdiction to determine breaches of the 1995 Fish Stock  
16 Agreement, and that matters within the Indian Ocean Tuna  
17 Commission Agreement must be decided in accordance with the  
18 compulsory dispute settlement at its Article 23, as, of course,  
19 must follow from Articles 281 and 282 of the UNCLOS.

20 Of course, Mauritius may disagree, but it has notably  
21 struggled to put forward reasons as to why resolution of the  
22 disagreements here would involve any issues on the merits.  
23 Mauritius's big point, tellingly enough, appears to be that  
24 Article 297(3) (a) would not apply with respect to the  
25 territorial sea; that is, Mauritius's Article 2(3) claim. And

11:13 1 Mauritius turns this into a foot-in-the-door-type argument. It  
2 says, as you are anyway going to have to look at the merits of  
3 our claim in relation to the territorial sea, there is no point  
4 in a bifurcation which could apply only to the claims in  
5 respect of the EEZ. Now, that is, with respect, a complete non  
6 sequitur. Even if you were to conclude that a particular  
7 aspect of the Preliminary Objections would need to be addressed  
8 in a final award, that would be no reason for deferring the  
9 rest of the objections.

10                 The further obvious problem is that, even if it were  
11 assumed in Mauritius's favor that disputes over living  
12 resources in the territorial sea may fall, in principle, within  
13 the scope of noted jurisdiction under Article 297, which is far  
14 from a straightforward issue, the U.K. has raised a discrete  
15 jurisdictional objection in relation to Mauritius's claim in  
16 respect of the territorial sea. The claim depends on the  
17 existence of alleged undertakings given by the U.K., and the  
18 Tribunal has no jurisdiction with respect to those alleged  
19 undertakings. And the same applies with respect to alleged  
20 in-shore fishing rights. The Tribunal's jurisdiction is  
21 confined by Article 288(1) to disputes concerning the  
22 interpretation or application of the Convention. The reference  
23 to other rules of international law in Article 2(3) does not  
24 serve to incorporate such Rules so as to bring them within  
25 compulsory dispute settlement under Part XV. And still less

11:15 1 does it serve to incorporate alleged unilateral undertakings of  
2 the kind that Mauritius now seeks to rely on.

3 Mauritius, of course, says that Article 2(3) does  
4 contain a *renvoi* that would allow the Tribunal jurisdiction  
5 over the alleged undertakings. But all this shows is that  
6 there is a discrete issue as to whether Article 2(3), correctly  
7 interpreted, extends the Tribunal's jurisdiction. That is a  
8 closely confined matter that is suitable for resolution at a  
9 preliminary phase.

10 The two remaining allegations of breach concern  
11 non-living resources and abuse of rights. Realistically, this  
12 is not a case about non-living resources; but insofar as  
13 Mauritius wants to push this aspect of the claim, the most  
14 obvious answer is that it, too, comes down to the application  
15 of the alleged undertakings, a matter which we say is beyond  
16 your Tribunal--beyond your jurisdiction under Article 288(1).

17 As to the alleged abuse of rights, the U.K.'s position  
18 is that allegation of a breach of Article 300 does not give  
19 rise to an independent basis for compulsory settlement.

20 Notably, and in support of that position, there is an agreed  
21 dispute-settlement mechanism under Article 297(3) (b) for  
22 alleged manifest failures and arbitrary acts of the coastal  
23 State, but this is by way of conciliation and not arbitration.

24 Were there any broader independent jurisdiction for  
25 abuse-of-rights claims, the restrictions to compulsory dispute

11:17 1 settlement agreed in Article 297(3) could be by-passed almost  
2 at will by the introduction of an abuse-of-rights claim.

3           Again, the jurisdictional issues are not intertwined  
4 with the merits, and there is nothing in these or any other  
5 aspects of the third preliminary objection that points to the  
6 conclusion that it should be deferred to the merits phase.

7           PRESIDENT SHEARER: Could I just interrupt to ask how  
8 much longer you will be. We're going to adjourn at 11:15.

9           MR. WORDSWORTH: I should be, I think, no more than 30  
10 seconds.

11           PRESIDENT SHEARER: Okay.

12           MR. WORDSWORTH: I hope nobody has got the stopwatch  
13 on.

14           To sum up, the U.K. has put before you three  
15 Preliminary Objections each of which is serious and  
16 substantial. If successful they knock out a complex claim on  
17 the merits in its entirety. Mauritius says that the U.K.  
18 should be made to defend its case on the merits,  
19 notwithstanding the existence on any argument of serious  
20 questions as to the Tribunal's jurisdiction. That contention  
21 makes no practical sense and is inconsistent with the practice  
22 that Sir Michael has taken you to.

23           In light of that practice and the relevant principles,  
24 including the importance of the institution of preliminary  
25 objections to States and the proper administration of justice,

11:18 1 the U.K. submits that its Preliminary Objections should be  
2 accorded a full and discrete hearing on issues of jurisdiction.  
3 Mr. President, Members of the Tribunal, thank you very  
4 much.

5 PRESIDENT SHEARER: Thank you, Mr. Wordsworth.

6 Are there any questions from the Tribunal? No.

7 Well, then we will adjourn for morning tea break until  
8 11:45. Thank you.

9 (Brief recess.)

10 PRESIDENT SHEARER: Yes, Mr. Dabee, I call upon you to  
11 give your argument.

12 ORAL ARGUMENT BY COUNSEL FOR REPUBLIC OF MAURITIUS

13 MR. DABEE: Thank you, Mr. President.

14 Since I made some of the premature introductory  
15 remarks earlier this morning, some water has already flown  
16 under the bridge, so, I will, therefore, limit myself to  
17 briefly referring to the order in which counsel for Mauritius  
18 will be making their presentations.

19 There will, first of all, be Professor Sands, who will  
20 be making a number of general introductory points on the  
21 bifurcation issue.

22 And secondly, more specifically, addressing you on the  
23 U.K. third Preliminary Objection, which relates to the claims  
24 made by Mauritius in relation to Articles 2(3), 55, 56(2), 63,  
25 64, 194, and 300 of UNCLOS and Article 7 of the 1995 Fish Stock

11:48 1 Agreement, which is referred to in Chapter 7 of our Memorial.

2                 This will followed by the address of Professor  
3 Crawford, who will for his part be making submissions on the  
4 question of *sui generis* and also the treatment to be given to  
5 land boundary issues under the Convention.

6                 And, lastly, Alison Macdonald will be dealing with  
7 U.K.'s argument that, in respect of Mauritius's claim that the  
8 MPA is incompatible with the Convention, there is no dispute  
9 concerning the application or interpretation of the Convention.

10               She will also be addressing you on the legal test that  
11 applies to the question of bifurcation.

12               Without much further ado, I shall invite the Tribunal  
13 to ask Professor Sands to address you.

14               PRESIDENT SHEARER: Thank you very much, Mr. Dabee.

15               Yes.

16               PROFESSOR SANDS: Mr. President and Members of the  
17 Tribunal, I am going to make a short number of introductory  
18 points and then turn to the third of the United Kingdom's  
19 Preliminary Objections.

20               The United Kingdom's written submissions in  
21 preparation for this hearing were, indeed, skeletal. They were  
22 addressed in Chapter 6 of the United Kingdom's Preliminary  
23 Objections in five pages. Mauritius responded with its Written  
24 Observations on the 21st of November in considerable detail.  
25 The United Kingdom indicated that it was not minded to put in

11:50 1 any further response, but upon the invitation of the Tribunal,  
2 then did so, and both Parties have subsequently submitted short  
3 Skeleton Arguments.

4 Can I just say you're getting a bundle of materials  
5 that hopefully do not duplicate but supplement the United  
6 Kingdom's. We've also distributed or you ought to get a USB  
7 stick which will have all of the cases referred to in full. We  
8 didn't want to print out thousands of pages, but we thought you  
9 might want them. So, the USB has the full text of the cases  
10 that we're referring to.

11 We've set out our arguments on this issue in some  
12 detail in our Written Observations of the 21st of November, and  
13 obviously in the time available and for other reasons we don't  
14 intend simply to repeat everything. We will do our best to  
15 respond to what the United Kingdom has said this morning which,  
16 of course, has fleshed out considerably what they'd put in in  
17 writing.

18 Article 11(3) is the governing text here. It deals  
19 with the issue of bifurcation. The Arbitral Tribunal may,  
20 after ascertaining the views of the Parties, determine whether  
21 objections to jurisdiction or admissibility shall be addressed  
22 as a preliminary matter or deferred to the Tribunal's Final  
23 Award. We say--we don't think there is any disagreement on  
24 this--but this formulation is entirely neutral as to the stage  
25 of when Preliminary Objections should be addressed. What we do

11:52 1 disagree with is the argument repeated this morning that there  
2 is somehow a presumption in favor of bifurcation.

3           We also strongly object, as Ms. Macdonald will address  
4 in further detail to the United Kingdom's argument, that  
5 Preliminary Objections should only be addressed with the  
6 merits, a reversal of the burden type of argument, if the  
7 Tribunal is able to decide definitively that the Preliminary  
8 Objections can only be decided at the merits stage. We say  
9 that is not the standard to be applied and it would lead to the  
10 duplication of time, cost, and very significant delay, and  
11 Ms. Macdonald will say more about this in due course. Neither  
12 Article 11(3) nor the single most relevant authority, which is,  
13 of course, Guyana v. Suriname, on which I will say a bit more  
14 in a moment, supports the approach of the United Kingdom on  
15 this point.

16           There is nothing, moreover, we say, that is, as the  
17 United Kingdom puts it, controversial about Article 11(3).  
18 That point is made in their Skeleton at Paragraph 3. The  
19 language was drawn from the Rules of Procedure in Guyana v.  
20 Suriname, and those in turn were drawn from and closely follow  
21 the rules of procedure in the MOX case, which, of course,  
22 involved the United Kingdom, and those are available in your  
23 tabs.

24           Article 11(3) certainly did raise a couple of minor  
25 issues in their drafting, but the United Kingdom did not, in

11:54 1 the context of the elaboration of the draft text, propose that  
2 somehow proceeding on the merits should be suspended and that  
3 there should be an equivalent rule to that in the ICJ or the  
4 ITLOS practice. It was more about the ascertainment of the  
5 views of the Parties. You don't have evidence before you on  
6 that, but I don't think that issue is much disputed. This is  
7 not a controversial issue. It follows the practice of two  
8 other Annex VII Arbitration Tribunals.

9               Now, of course, the issue of bifurcation did not arise  
10 in the MOX Case, but it did arise in the case of Guyana v.  
11 Suriname as you, Mr. President, will recall very well, and I  
12 think Judge Greenwood was not involved in the proceedings at  
13 that stage.

14               And we heard some of the limited submissions this  
15 morning on that case. We would invite you to read the entirety  
16 of the day's transcript when the equivalent hearing was held.  
17 We've put that in your bundle.

18               Suriname argued exactly like the United Kingdom, and I  
19 stand before you having heard much of these arguments before.  
20 As you will recall, Mr. President, Suriname made  
21 extraordinarily similar arguments about the unprecedented  
22 nature of the issue that was being addressed, and we were  
23 faced, I acting for Guyana in that case, with the formidable  
24 opposition of Shabtai Rosenne in what I think was his last  
25 hearing, and it was a great privilege to appear in a case with

11:55 1 him, and he addressed the Tribunal and said that Guyana's  
2 argument was unprecedented, that is Page 15, Line 30 of the  
3 transcript, and that the proceedings on the merits, he said,  
4 had been suspended with the filing of Suriname's Preliminary  
5 Objections. Of course, that view was not accepted by the  
6 Tribunal, and the conclusion applies equally in these  
7 proceedings. And as matters stand, the United Kingdom is due  
8 to file its Counter-Memorial by the first of March. The merits  
9 proceedings have not been suspended.

10           Later on that day, on behalf of Suriname, we heard  
11 arguments from David Colson, an extremely distinguished  
12 international lawyer, who argued that if there was no agreement  
13 on the land boundary terminus, then there was no jurisdiction  
14 and, as he put it, the case was over, and that argument was put  
15 by him at Page 48, Line 38 of the transcript.

16           He argued that an Annex VII Tribunal's jurisdiction  
17 failed entirely if the dispute, and I quote, "also involved the  
18 question of territorial sovereignty, be it a question of  
19 disputed sovereignty over an island or a question of the  
20 position at the end of the land boundary." Page 49, Lines 21  
21 to 29. Puts us in a directly analogous situation in relation  
22 to the matters addressed in these proceedings. And at the  
23 benefit of hindsight, it is easy to look back and minimize what  
24 your Tribunal did, Mr. President, in that case, and it was  
25 faced with directly analogous arguments.

11:57 1           And they went further, just as the United Kingdom has  
2 gone further today. They said that the Tribunal would cause  
3 tremendous risks if it went forward without a separate hearing  
4 on jurisdiction, and its treatment of these questions, and I  
5 quote--this is David Colson again, "will be closely studied and  
6 have potential worldwide implications." That's Page 50,  
7 Lines 1 to 7. The principles, he said, were fundamental and  
8 far-reaching. All of these arguments were rejected by the  
9 Tribunal in a robust and unanimous decision that has received  
10 no criticism at all. The Tribunal did not have a separate  
11 jurisdiction phase. It joined jurisdiction to the merits to  
12 deal with the issue of sovereignty. It ruled that it had  
13 jurisdiction, and it proceeded to delimit the maritime  
14 boundary. It resolved the dispute in a matter that was  
15 entirely acceptable to both Parties. The world of UNCLOS and  
16 the law of the sea did not collapse following that decision by  
17 that Tribunal not to have a separate phase on jurisdiction.  
18 The United Kingdom has not argued that the decision was wrong.  
19 But we say it is directly analogous, and it falls I think to  
20 Mauritius to invite this tribunal to adopt exactly the same  
21 robust approach. The alternative is a costly and delayed  
22 procedure. It is true, we cannot give you the precise costs,  
23 nor can we tell you exactly how many months or years it would  
24 take to sort out the jurisdictional issues, but I think there  
25 is no dispute that both would be added to very significantly.

11:59 1            And we say that at the end of that process of a  
2 jurisdictional hearing, you would inevitably join the issues to  
3 the merits, and we would then hear them for a second time in  
4 relation to the merits with which they form an intimate part.

5            We do not ask you today to form any view on the merits  
6 or to form any view on the strength of the United Kingdom's  
7 jurisdictional objections. We don't seek to minimize those  
8 jurisdictional objections or to maximize them, but both are for  
9 a later stage. They are not for today. At this stage, the  
10 only issue is whether the Tribunal can address all the United  
11 Kingdom's objections without trespassing inappropriately into  
12 the merits, whether on issues of fact and evidence or on legal  
13 matters.

14            In our submission, if you have any doubts about that  
15 in relation to any part of Mauritius's claim, then the correct  
16 and safer approach is to join jurisdictional issues to the  
17 merits. That's what's required by the sound administration of  
18 justice as well as of issues of cost, and it disadvantages  
19 neither Party. That is the function of an arbitral tribunal in  
20 this procedure as in many others.

21            With that in mind, let's turn to the United Kingdom's  
22 three Preliminary Objections. We're going to begin with the  
23 third, which is Mauritius's claim that the MPA is incompatible  
24 with UNCLOS, and the argument of the United Kingdom that this  
25 is not within the jurisdiction of the Tribunal. We're doing

12:01 1 this because, as you will have picked up the arguments of the  
2 United Kingdom are particularly thin on this issue, a  
3 recognition, we say, that the Tribunal is bound to find that it  
4 has jurisdiction over all of these claims.

5           This aspect of the objections relates to the claims  
6 made by Mauritius in relation to Articles 2(3), 55, 56(2), 63,  
7 64, 194, and 300 of UNCLOS, and Article 7 of the 1995  
8 Agreement. We've addressed all of this in Chapter 7 of our  
9 Memorial.

10           We're not going to have time to deal with all of these  
11 heads of claim. They're fully addressed in our Written  
12 Observations of the 21st of November at Paragraphs 68 to 84.  
13 So, I'm going to turn to selected examples by reference to  
14 fisheries rights, consultations, and abuse of rights, and  
15 invite the Tribunal to ask itself the following question:

16           Can this Tribunal's jurisdiction on these claims be  
17 addressed without any consideration of matters that are  
18 properly for the merits, whether they're legal arguments or  
19 factual or evidential matters? And we say self-evidently that  
20 is to be answered negatively. That cannot be done, and you  
21 will have noticed today how, despite its best efforts to learn  
22 from the experience of Suriname, United Kingdom, and in  
23 particular Mr. Wordsworth kept turning to issues that go to the  
24 merits and to issues of substantive fact.

25           The United Kingdom takes an opposite view. It says

12:03 1 that the Tribunal can dismiss each and every one of these  
2 claims at a preliminary stage without any consideration, in an  
3 inappropriate way of matters that relate to the merits. We say  
4 this is a bold and very far-reaching argument. It requires the  
5 United Kingdom to persuade this Tribunal at this stage that the  
6 totality of Mauritius's claims can be dismissed without the  
7 Tribunal getting into any aspects of the merits.

8           You don't have to look at the evidence concerning the  
9 recognition and preservation of Mauritius's historic fishing  
10 and mineral rights in the Chagos Archipelago. You don't have  
11 to look at the 1965 Lancaster House undertakings and the  
12 subsequent practice by the United Kingdom in relation to those  
13 undertakings, of central importance not just to the Article  
14 2(3) claim but to all aspects of the claims.

15           The United Kingdom says you don't have to look at any  
16 of the material relating to the processes by which the MPA came  
17 into being. You can simply put on one side, including in  
18 relation to exchanges of views, the assurance given by British  
19 Prime Minister Gordon Brown to the Mauritian Prime Minister,  
20 Mr. Ramgoolam, that the MPA would not be implemented. You  
21 don't need to look at any evidence, says the United Kingdom, in  
22 relation to the question of the adequacy by which the United  
23 Kingdom did or did not take into account the views of Mauritius  
24 in relation to the MPA.

25           The United Kingdom also says that you do not need to

12:04 1 look at any evidence, even in relation to the abuse-of-rights  
2 claim that in creating the MPA, the United Kingdom was  
3 motivated by a desire to ensure the continued exclusion of the  
4 former residents of the Chagos Archipelago as reflected in the  
5 WikiLeaks documents. And you will be aware now, as both sides  
6 are, that the man who spoke of the Man Fridays and the great  
7 benefit of the marine protected area has been summoned to  
8 appear before the English High Court and will do so in a few  
9 weeks' time, to explain precisely what he meant when he made  
10 those comments to the United Kingdom.

11           But all of that you can completely set to one side.  
12 And most extraordinarily of all, the United Kingdom says you do  
13 not need to consider any evidence about the MPA. You don't  
14 have to look at its size and its geographical boundaries. You  
15 don't have to look at the applicable legal and regulatory  
16 framework. You don't have to look at the nature of the  
17 exclusion zone around Diego Garcia or the continued fishing  
18 that it permits. We've noted that last year 27 tons of tuna  
19 were caught for recreational fishing purposes, but Mauritian  
20 fishermen have been excluded but that you don't need to look at  
21 in relation to dealing with the issues of jurisdiction, nor do  
22 you need to look at, although you heard Mr. Wordsworth this  
23 morning talk about the question of whether the MPA had  
24 environmental as opposed to fisheries purposes. You don't need  
25 to look at that, says the United Kingdom. Nor do you need to

12:06 1 look at any practical measures that have been taken. All of  
2 that can be set aside and you can deal with the entire issue of  
3 this matter as a simple discrete jurisdictional issue.

4 Well, that is a bold argument, and you heard  
5 Mr. Wordsworth repeatedly today trespass into areas of the  
6 merits just as the United Kingdom's Preliminary Objections  
7 repeatedly invite this Tribunal to form a view on the merits,  
8 just as the United Kingdom did in the Lockerbie case, and we  
9 refer you to Paragraph 50 of that judgment. There is no  
10 difference in relation to what you are being asked to do in  
11 relation to the merits in this case as compared to that case.

12 There, of course, the United Kingdom's objection on  
13 grounds of inadmissibility was rejected by the Court on the  
14 grounds that it trespassed into the merits with which it was  
15 closely interwoven. As the Court put it, the United Kingdom,  
16 and I quote, "broached many substantive problems in its written  
17 and oral pleadings in this phase." That is Paragraph 50. The  
18 United Kingdom has done exactly the same thing in this case,  
19 although it has striven to avoid the difficulties into which  
20 Suriname fell. It, too, has broached the substantive problems,  
21 and I'm going to give you some examples of how it has done that  
22 in its Preliminary Objections.

23 Take a look, for example, at Paragraph 2.12 of the  
24 Preliminary Objections. What does the United Kingdom say  
25 there? It asserts that its undertakings concerning Mauritian

12:08 1 fishing and other resource matters in 1965 and at all times  
2 thereafter, and I quote, "were not such as to create rights for  
3 Mauritius under international law or to impose obligations on  
4 the United Kingdom vis-à-vis Mauritius." That is pure merits  
5 material.

6           And then they go on to say, "this is clear," and I'm  
7 quoting, "from a plain reading of the documents on which  
8 Mauritius relies." Not expressing a view on the merits of the  
9 United Kingdom's argument, but that is a merits argument. It  
10 is not something this Tribunal can form a view on at a  
11 jurisdictional phase. Whether today or in a year or two years'  
12 time, if we were to meet again, you would be taken to that  
13 section and you would be invited in effect to form a view at a  
14 jurisdictional phase that the United Kingdom was correct on  
15 that. We say you can't do that at a jurisdictional phase, any  
16 more than you can at this phase because it is a matter that  
17 goes to the merits, and it is at the heart of the United  
18 Kingdom's pleading this assertion. It informs the entirety of  
19 its case in relation to fisheries.

20           The 1965 fisheries undertakings, by way of example,  
21 are essential to the determination of the U.K. and the question  
22 of compliance, for example, with Article 2(3) of the  
23 Convention, and I regret very much the reference to a  
24 foot-in-the-door argument. For more than four decades,  
25 Mauritian fishermen have been able to fish in territorial

12:10 1 waters off the Chagos Archipelago. That stopped in 2010 with  
2 the adoption of the Marine Protected Area. So, it is not a  
3 foot-in-the-door either for the Mauritian State or for the  
4 fishermen who are no longer able to engage in their livelihood  
5 as a direct result of the Marine Protected Area, and that is in  
6 the territorial sea and beyond. But for now I'm focusing on  
7 territorial sea.

8 Article 2(3) provides that the rights of sovereignty  
9 in the territorial sea must be exercised, and I quote, "subject  
10 to other rules of international law." Now, the United Kingdom,  
11 interestingly, has characterized that provision as raising an  
12 issue of fact, that is Preliminary Objections at  
13 Paragraph 5.48, and then it goes on to say that in relation to  
14 the Article 2(3) claim, and I quote, "that it depends  
15 entirely--depends entirely--on whether there is an undertaking  
16 binding under international law." That is self-evidently a  
17 matter for the merits. It cannot be addressed at the  
18 jurisdiction phase. We can sit here in a year's time arguing  
19 about this issue and we will say to you, you can't decide that  
20 issue at a jurisdictional phase. That is for the merits.

21 What else does the United Kingdom have to say about  
22 Article 2(3)? Well, in its written pleadings, very little. It  
23 devoted a single paragraph to the issue in its Preliminary  
24 Objections Paragraph 5.48, and it makes no written argument in  
25 its written submissions as to what Article 2(3), and it was

12:11 1 careful today about what it said. Its written reply of the  
2 21st of December makes no mention of Article 2(3), and today  
3 only a little more was said.

4           The United Kingdom doesn't argue that this claim is  
5 excluded by Article 297 at Paragraph 3. It implies in its  
6 written pleadings but never actually argues that the Parties'  
7 difference of view as to the interpretation and application of  
8 Article 2(3) falls outside the jurisdiction of the Tribunal.

9           But that, we say, cannot be right. The meaning and  
10 effect of Article 2(3) is plainly in dispute between the  
11 Parties, and is obviously a matter that falls within the  
12 jurisdiction of the Tribunal. Article 297(3) could have  
13 excluded that dispute from the compulsory jurisdiction of the  
14 Tribunal, but it does not do so, and the United Kingdom has not  
15 argued that it does so. Nor are we arguing for an extension of  
16 any jurisdiction of this Tribunal to apply other rules of  
17 international law. We are inviting you to interpret and apply  
18 Article 2(3) of the 1982 Convention, and that requires you to  
19 look at the undertakings given by the United Kingdom and to  
20 consider whether they form part of those other rules of  
21 international law. I'm not going to argue that now, the point  
22 is it is for the merits. We do not see how this Tribunal could  
23 decide that issue at the jurisdictional phase.

24           What the United Kingdom does by way of nothing more  
25 than bold assertion is argue, as I mentioned, that the United

12:13 1 Kingdom's undertakings are not exercised subject to other rules  
2 of international law. That's for the merits. The Tribunal is  
3 being invited by the United Kingdom to form a definitive  
4 interpretation of Article 2(3) at the jurisdictional phase and  
5 then to apply that interpretation to the facts. We say that  
6 cannot happen at the jurisdictional phase. That is, in  
7 essence, what happened in the Lockerbie case, cannot be done in  
8 this case any more than it could be done in that case. As the  
9 Court said, it has, and I quote, "no doubt that Libya's rights  
10 on the merits would not only be affected by a decision at this  
11 stage of the proceedings not to proceed to judgment on the  
12 merits, but would constitute in many respects the very subject  
13 matter of that decision." That's what the United Kingdom is  
14 asking you to do in relation to Article 2(3) and the  
15 undertakings given by the United Kingdom in 1965.

16 Turn to the issues of consultation, exactly the same  
17 issue arises of trespassing inappropriately into the forbidden  
18 area of the merits. Let's take, for example, Article 56(2) and  
19 Article 194, both of which, in paraphrase, require the United  
20 Kingdom to take into account the views of other States and/or  
21 to seek to harmonize its policies with other States.

22 This isn't excluded from the jurisdiction of the  
23 Tribunal by reason of Article 297(3)(a) as the dispute doesn't  
24 relate to sovereign rights with respect to the living resources  
25 in the EEZ or their exercise. The United Kingdom hasn't argued

12:15 1 that this claim is caught by 297(3)(a). Instead, it argues  
2 that Mauritius's claim is not about international rules and  
3 standards for the protection and preservation of the marine  
4 environment within 297(1)(c) of the Convention. And it seeks  
5 to portray this objection again simply as a discreet matter of  
6 treaty interpretation, one that can be entirely divorced from  
7 any inappropriate consideration of the facts.

8           So, how, then, does the United Kingdom explain to the  
9 Tribunal that this Tribunal can decide whether or not the  
10 Marine Protected Area falls within the United Kingdom's  
11 interpretation of 297(1)(c) without looking in detail at the  
12 evidence of what the MPA does? You only need to look at the  
13 limited material the United Kingdom has put out in relation to  
14 the MPA. For example, the consultation document that it  
15 purported to hold, where it says that the MPA will contribute,  
16 and I quote, "to clean oceans and seas." That's an  
17 environmental objective. The question of whether or not it  
18 goes far enough to bring the case within the jurisdiction of  
19 the Tribunal is not to be decided today or at a jurisdiction  
20 phase. It requires you to look at the merits of what this MPA  
21 is about and whether, as we say, it has environmental  
22 objectives or, as the U.K. now appears to be saying,  
23 remarkably, it has no environmental objectives, merely  
24 fisheries protection objectives. That's not what the evidence  
25 shows.

12:17 1 Again, having said that you don't need to trespass on  
2 the facts, the United Kingdom contradicts itself. We will  
3 refer you to another paragraph of the Preliminary Objections.  
4 Paragraph 5.52. Where the United Kingdom makes and then relies  
5 upon three overtly merits-related assertions about the Marine  
6 Protected Area. Now, these are made, except in the relation to  
7 the abuse of rights argument, but they also inform the  
8 arguments of Article 56(2) and Article 194.

9 What does the United Kingdom say? The United Kingdom  
10 says that the MPA, and I quote, "protects the environment and  
11 living resources," contradicting the argument it has made  
12 earlier in relation to 194 and 56(2).

13 Self-evidently it is not irreversible.

14 And thirdly, it has "in fact had a very limited  
15 impact, if any, on Mauritian fishery vessels."

16 How can you possibly form a view at a jurisdiction  
17 phase on those matters? You can't, this case is evidently  
18 going to have to go to the merits where you will have to  
19 consider the jurisdictional arguments put by reference to these  
20 merits-based assertions. There is just no escaping that  
21 consequence, and that's why we've begun with Preliminary  
22 Objection Number 3. You're going to have to move to the  
23 merits, we submit, to examine these jurisdictional objections.

24 The United Kingdom has plainly, in relation to  
25 Preliminary Objection Number 3 broached substantive problems in

12:18 1 its written pleadings. As the Court put it in the Lockerbie  
2 case.

3 Turn to abuse of rights. Mauritius's legal position  
4 is set out at Paragraphs 7.81 to 7.99 of the Memorial. We've  
5 argued that Article 300 of the Convention encompasses  
6 circumstances where a State exercises a right intentionally for  
7 an end which is different from that for which the right has  
8 been created, and we say--it doesn't appear to be disputed in  
9 terms by the United Kingdom--that Article 300 is not excluded  
10 from the exercise of compulsory jurisdiction by Article 297(3).  
11 The United Kingdom makes no argument that it is.

12 What the United Kingdom says is that the evidential  
13 threshold for Article 300 claims is high, and in making that  
14 argument it concedes you've got to look to the evidence.

15 And it also argues that Article 300 claims aren't  
16 free-standing but must be connected to another cause of action  
17 under the Convention, and they cite to a single paragraph, the  
18 Southern Bluefin Tuna Case, which, with great respect, does not  
19 support the proposition they make. The United Kingdom  
20 basically argues that the Tribunal can decline to exercise  
21 jurisdiction over Article 300 because it's nothing more than a  
22 packaging of other claims. Repackaging of other claims.  
23 Again, it's a far-reaching argument.

24 But the key point is, it's completely interwoven with  
25 the merits, and the United Kingdom recognizes that.

12:20 1 Paragraph 5.52 of its Preliminary Objections, which I've  
2 already drawn your attention to, refers to the argument of the  
3 United Kingdom that the MPA has "a very limited impact, if any,  
4 on Mauritian fishery vessels." Well, at the merits phase, we  
5 will give you the impact on Mauritian fishery vessels, and we  
6 will make it very clear that that impact has been very  
7 significant. It has stopped Mauritian fishermen from carrying  
8 out their livelihood. But that's not for here. That is a  
9 merits argument.

10 In this way, says the United Kingdom, you don't need  
11 to look at any of the evidence in relation to the intention of  
12 the Marine Protected Area. You don't have to form a view as to  
13 what Colin Roberts meant when he referred to an MPA that would  
14 prevent Man Fridays from returning back to the islands. We  
15 simply do not understand how you could conclude that the  
16 Article 300 claim is simply a repackaging of these other  
17 allegations in circumstances in which the United Kingdom  
18 invites you by its own pleadings to form a view on  
19 merits-related matters.

20 By way of conclusion, the United Kingdom's argument  
21 here for a separate jurisdiction phase is weak, it's very weak.  
22 The arguments the United Kingdom makes are plainly and  
23 manifestly interwoven with matters that pertain to the merits,  
24 and they cannot possibly be addressed at a preliminary stage.  
25 You have been directed to no case in which an equivalent set of

12:22 1 issues has been discussed at a preliminary stage, and it is the  
2 simple brevity of the United Kingdom's arguments both in their  
3 written form and this morning from Mr. Wordsworth that make  
4 very clear that this is a Jurisdictional Objection that has to  
5 be addressed with the merits.

6 That brings us to the question of the interweaving of  
7 those arguments with the claims of whether the United Kingdom  
8 has sufficient or any sovereign rights with respect to the  
9 living resources in the relevant maritime areas and related  
10 matters, and it is to that which Professor Crawford, with your  
11 permission, will now turn.

12 PRESIDENT SHEARER: Thank you very much, Professor  
13 Sands. I give the floor to Professor Crawford.

14 PROFESSOR CRAWFORD: Thank you, Mr. President, Members  
15 of the Tribunal.

16 We heard Sir Michael Wood this morning discussing the  
17 issues very much on the premise that we are before the  
18 International Court of Justice and a Preliminary Objection has  
19 been filed, and the case would be automatically suspended, and  
20 there is a round, possibly two rounds of written pleadings, and  
21 the Court has heard three days or a week of argument in two  
22 rounds. The Court has considered the case at some length in  
23 deliberations. It then has to decide whether to decide the  
24 points or to leave it to the merits. That's the procedure of  
25 the International Court. It is not the procedure of this

12:24 1 Tribunal. Annex VII Arbitration is an alternative to  
2 International Court adjudication, which the Parties one way or  
3 another select at the time that they make the relevant  
4 decisions in respect of the jurisdictional provisions under  
5 Part XV.

6                 The question for you is not whether having gone  
7 through such a lengthy procedure, you will rather exceptionally  
8 join something to the merits so as to have still further  
9 procedures in relation to it. It is whether it is efficient to  
10 deal with the United Kingdom's case in two stages or one. We  
11 heard quite a lot this morning about the suppression of  
12 argument, but there is no intention on the part of Mauritius to  
13 suppress any opportunity of the United Kingdom to make its  
14 argument. It will have a full opportunity at the appropriate  
15 stage to make all the jurisdictional arguments it likes, and we  
16 will have to respond to them.

17                 The question is simply one of efficiency in relation  
18 to a dispute which we say is clear and undoubted, which exists  
19 and which affects people and which should be resolved in as  
20 efficient a manner as possible.

21                 A second point. The question here is not one of  
22 competing tribunals. It is not whether there is a preference  
23 under Part XV for the jurisdiction of the International  
24 Tribunal on the Law of the Sea or the International Court or  
25 Annex VII Tribunals, each with their own particularities,

12:26 1 personnel, and procedures. The question is one of the scope of  
2 the Convention itself. Now, that question obviously doesn't  
3 arise today because it goes to the ultimate question of  
4 jurisdiction.

5           But nonetheless, there is a tendency to consider  
6 arguments about the scope of the Convention as it were  
7 implicitly from a confrontational point of view. We say that's  
8 undesirable. Different tribunals operate on the basis of  
9 parity in relation to the Convention, and the Convention has  
10 the same meaning whether it is before a tribunal, the Law of  
11 the Sea Tribunal, or the Court.

12           So, we come here not to argue the substance of the  
13 United Kingdom Preliminary Objections but an incident in  
14 procedure in advance of that procedure. Mr. Wordsworth, while  
15 professing that position, did not entirely follow it. We heard  
16 this morning in a compressed form the substantive argument  
17 about Preliminary Objections. Reminds me of the line in Byron,  
18 Don Juan, about the damsel who, whispering she ne'er consent,  
19 consented. Mr. Wordsworth, whispering he may ne'er argue about  
20 the substance of the Preliminary Objections, nonetheless did  
21 so.

22           It is a temptation to follow him down that track,  
23 since we're talking about temptation, but I will only do so to  
24 a limited extent. I want to make three propositions of a  
25 preliminary character which, in my view, although we will hear

12:28 1 Mr. Wordsworth this afternoon, can't be questioned because  
2 there is an inference underlying the United Kingdom's position,  
3 as all positions based upon floodgates arguments that there is  
4 something dire that will follow from your decision not to  
5 bifurcate. And as with many floodgates arguments, the ground  
6 beneath the gates of the reservoir remains resolutely dry.

7           The first proposition is this: UNCLOS does not  
8 categorically or in terms say that there is no jurisdiction  
9 over land boundary issues connected to maritime claims, except  
10 in one context, and that's Article 298, the language relating  
11 to compulsory conciliation. Let me remind you of that  
12 language: "Provided further"--this is in the section  
13 concerning optional exclusion of certain disputes--"provided  
14 further that any dispute that necessarily involves the  
15 concurrent consideration of any unsettled dispute concerning  
16 sovereignty or other rights over continental or insular land  
17 territory shall be excluded from such submission."

18           You can't say that the drafters of Part XV did not  
19 have in mind the question of jurisdiction concerning  
20 sovereignty or other rights over continental or insular land  
21 territory. How did they deal with it? They dealt with it  
22 specifically in the context of compulsory conciliation in  
23 Optional Clause in Article 298 and not otherwise.

24           The fact that this limitation applies expressly to the  
25 optional provision in Article 298 and not to Article 297 speaks

12:29 1 volumes. As my old teacher in elements of law taught me,  
2 expressum facit cessare tacitum, although he pronounced it  
3 better, or expressio unius exclusio alterius. It's significant  
4 that Article 298 also excludes not that part of the dispute  
5 which concerns sovereignty or other rights over continental or  
6 insular land territory. It excludes compulsory jurisdiction  
7 not just that dispute but the connected dispute over maritime  
8 jurisdiction or delimitation, however. In other words, the  
9 language of Article 298 expressly recognizes that there can be  
10 two disputes initially separate, one over sovereignty or other  
11 rights over the continental or insular land territory, one over  
12 maritime delimitation, and that the second of those disputes  
13 may necessarily involve the concurrent consideration of the  
14 first.

15           The solution adopted only for the purpose of  
16 compulsory conciliation is to exclude that requirement in  
17 relation to both disputes--in relation to both disputes--in  
18 those cases and only in those cases where the linkage is  
19 necessarily inherent, where the connection is necessary or the  
20 linkage is necessarily inherent.

21           How do you know whether the linkage is necessary or  
22 inherent? You actually need to know quite a lot about the  
23 case.

24           It is not suggested in Article 298 that you can take  
25 one without the other. You either take them both or not at

12:31 1 all. And this aspect only applies to disputes concerning the  
2 interpretation and application of Articles 15, 74, and 93  
3 relating to sea boundary delimitations or those involving  
4 historic bays or titles. It does not apply to disputes  
5 concerning the interpretation of other provisions of the  
6 Convention, including those connected with entitlement, a point  
7 of major significance, and including those relied on by  
8 Mauritius in the present case.

9           Mr. Wordsworth said that it would be absurd to infer  
10 that States having laid down provisions dealing with maritime  
11 delimitation would have consented to something greater, but  
12 conventions and especially Framework Conventions of this sort  
13 of UNCLOS are not to be interpreted against inferences of what  
14 States would have consented to, had they thought about it, when  
15 the evidence is that they did think about it, that they thought  
16 about it carefully and dealt with matters in a particular way.

17           Article 298 is entitled, and you hardly need to be  
18 told, optional exceptions to applicability of Section 2. Part  
19 XV is entitled, Settlement of Disputes.

20           The Law of the Sea is not to be confined, as the  
21 International Tribunal has recently reminded us, to the  
22 formally interpreted textual references in particular Articles,  
23 without reference to its overriding purpose, and we say that  
24 that is relevant even at this stage in terms of your decision  
25 to deal with the whole of the case. The case, the whole case

12:33 1 and nothing but the case, we would say.

2               To repeat my first proposition, UNCLOS does not  
3 categorically or in terms say that there is no jurisdiction  
4 over land boundary issues connected to maritime claims except  
5 in the context of compulsory conciliation. The fact of the  
6 manner in which it does so affirms the strong version of the  
7 incidental powers doctrine. You will be doing nothing outre by  
8 joining it to the merits. I can be briefer as to my second and  
9 third propositions.

10              Proposition 2, where there is jurisdiction over land  
11 boundary issues--whether there is jurisdiction over land  
12 boundary issues connected to maritime claims or not depends on  
13 the claims as enunciated on the correct characterization and on  
14 the underlying factual and legal situation. And due to a lack  
15 of time, I won't take you to the actual passage but I will  
16 refer you to what Professor Boyle, whose absence today we  
17 regret, said in the passage cited in the Mauritius Memorial at  
18 Paragraph 530, everything depends on how the case is  
19 formulated. Those are his words. And for a more general  
20 discussion of the issues of jurisdiction under the Convention  
21 in what I would describe as a balanced way, I refer you to the  
22 paper of Judge Rao at Page 887 to 892, which is in the dossier.

23              So, everything depends on how the case is formulated,  
24 how it is argued and what the factual and legal parameters are.

25              Proposition 3--and I'm sorry, I have to find the piece

12:34 1 of paper--sorry, I can't find it for the moment, but it's a  
2 quotation from the speech of President Wölfrum, who was then  
3 before the General Assembly quoted by Judge Hoffmann, as he now  
4 is, before the Asian African legal consultative organization.  
5 Maritime boundaries cannot be determined in isolation without  
6 reference to territory. Maritime boundaries cannot be  
7 determined in isolation without reference to territory.

8           I take those three points together, and I add the  
9 simple and well accepted point that it is for the Claimant in  
10 the first instance to formulate its claim though we accept, of  
11 course, that it is for the Tribunal to evaluate it. And I will  
12 refer in particular to the request for interpretation in the  
13 case concerning the land and maritime boundary between Cameroon  
14 and Nigeria Preliminary Objections, the judgment in ICJ Reports  
15 1999, Page 31. It was a case where Nigeria, for whom I was  
16 appearing, wanted to say that the responsibility questions that  
17 were raised were really raised as a series of individual  
18 incidents which required individual elaboration, which they  
19 certainly hadn't had from Cameroon. We sought interpretation  
20 of the judgment that dismissed that Preliminary Objection.

21           The Court said in effect it is for the Claimant to set  
22 out its claim. This responsibility of claim is formulated in  
23 generic terms, and it's not for the Respondent to say it should  
24 have been pleaded in a different way. Of course, it will be  
25 evaluated on its merits. It was evaluated on its merits, and

12:36 1 it was summarily dismissed. But still at the jurisdictional  
2 level it's a matter for the Claimant to say what its case is.

3                 Of course, the Tribunal can say that the Court or  
4 Tribunal can then say, having looked at the case and its  
5 surroundings, we say that this is the point you're making, that  
6 the power of appreciation exists. But it's not to be exercised  
7 in such a way as to block the Claimant from recourse to the  
8 Court.

9                 I should say something in this context about the  
10 Nicaragua-Colombia Preliminary Objections case which has been  
11 heavily relied upon by the United Kingdom. That was a case  
12 that was profoundly affected by the fact that it was argued  
13 under the Pact of Bogota to which Colombia was then a party,  
14 which has a provision, a very important provision in the  
15 economy of the Pact of Bogota excluding from the jurisdiction  
16 of the Court matters which have already been settled or  
17 resolved by Treaty or judicial decision, and I would refer you  
18 with respect to the very able Separate Opinion of Judge Abraham  
19 in that case, which is in your materials, in which that is very  
20 carefully explained.

21                 In effect, Colombia chose to argue the whole of its  
22 primary case in relation to that dispute as a Preliminary  
23 Objection under that provision of the Pact of Bogota.

24                 It put forward its claim to sovereignty over the  
25 archipelago as a whole, including all of its features, and over

12:38 1 the maritime delimitation as of the 82nd meridian as if it was  
2 a jurisdictional question. And the Court followed it to some  
3 extent down that line by upholding Colombian sovereignty over  
4 the three named islands and over the archipelago without  
5 deciding what the archipelago was.

6 Without the Pact of Bogota and its key provision on  
7 settled disputes, that issue would have been an issue of  
8 merits, and Judge Abraham makes that very clear.

9 Nuclear Tests which the United Kingdom relied on in  
10 its written observations did not concern anything remotely  
11 approaching the question that concerns you, which is joined or  
12 separate argument at a preliminary procedural stage. It  
13 concerned what might be described as postliminary objections,  
14 if I can invent a word, or rather the choice of remedies after  
15 the case has been fully argued.

16 At the present stage of the case, the question is  
17 quite different, there is a distinction between a decision to  
18 join to the merits, having heard argument on jurisdiction and  
19 admissibility, and a decision to have a separate jurisdictional  
20 phase.

21 The United Kingdom says or said in its written  
22 pleadings that this application was in effect a disguised  
23 territorial claim. Mr. Wordsworth this morning said it was an  
24 undisguised territorial claim. In effect, it's saying that the  
25 MPA Coastal State issue is the mere peg on which to hang the

12:40 1 hat of that claim. But there is no basis for that finding at  
2 the preliminary stage. This case has always been about the  
3 MPA, and its implications for Mauritius's legal position. If  
4 the United Kingdom is right, when there is an underlying  
5 dispute which may not be a dispute as such under the Law of the  
6 Sea and that dispute gives rise to a further dispute which is  
7 concerned with the Law of the Sea, there is no jurisdiction  
8 because of that connection, and that, we say, is simply wrong  
9 as a matter of law. It is within the competence of the  
10 Tribunal to assess the dispute that has arisen in the light of  
11 the circumstances.

12 I'm not sure how much further to follow Mr. Wordsworth  
13 down his primrose trail of arguing the substance, but let me  
14 say a word about characterization.

15 The Tribunal has at all stages of its process the  
16 power to characterize a dispute. In the light of what I've  
17 said, the fact that you might characterize this dispute as in  
18 part concerned with the question of sovereignty over the  
19 coastline in the sense of arguing whether or not the United  
20 Kingdom was a coastal State is not a concern. It's a matter  
21 for you to decide as you decide the other issues in this case.  
22 But there are special circumstances here to which I should  
23 refer. I won't take you to the documents in detail, but this  
24 case does involve special circumstances. Mr. Wordsworth  
25 referred to the argument about something being *sui generis* and

12:42 1 described it as defensive. Well, an argument that something is  
2 sui generis is neither defensive nor offensive. It's simply  
3 the attachment of a Latin label. The Latin label we might  
4 apply in this case is maximum sui generis because this case  
5 really does have special features. I refer you to the  
6 Lancaster House Agreement, Tab 9; to the General Assembly  
7 Resolution 2066, which is Tab 10, to the statements about  
8 settled British policy in relation to the Chagos Archipelago  
9 made by Mrs. Thatcher in the House of Commons at Tab 11; and,  
10 above all, to the CLCS submission of 2009, preliminary  
11 submission, made by Mauritius without objection from the United  
12 Kingdom, which is Tab 12.

13 All of these circumstances make this case special, and  
14 we say you have to appreciate this case in the light of those  
15 circumstances. UNCLOS is a Framework Convention, and tribunals  
16 with jurisdiction over the interpretation and application of  
17 UNCLOS can also decide those cases, still cases concerning the  
18 interpretation and application of UNCLOS by reference to other  
19 rules of international law. There is no assumption against the  
20 consistency of other rules of international law with the  
21 provisions of UNCLOS, rather the reverse.

22 That means that the jurisdiction of the tribunals  
23 under the Convention is not cribbed, cabined and confined by  
24 the specific language of particular provisions, but it may  
25 extend to other aspects of the overall dispute, still

12:44 1 characterized as a dispute under UNCLOS which the Tribunal has  
2 to decide; otherwise, UNCLOS becomes not a framework but a  
3 straight-jacket.

4           These are broader considerations, and I don't deny  
5 that they're broader consideration, but they're relevant to the  
6 exercise of your discretion in this case. In the end, we say  
7 it comes down to quite a simple question. What is most  
8 efficient in the handling of this dispute? And the attempts by  
9 the United Kingdom to establish a series of Berlin Walls  
10 between you and the consideration of the dispute should fail.

11           Mr. President, Members of the Tribunal, there will be  
12 some more remarks in relation to Mr. Wordsworth's discourses  
13 this afternoon, but for the moment that's all I need to say.

14           PRESIDENT SHEARER: Thank you, Professor Crawford.

15           So, I will now call on Ms. Macdonald to address us.

16           Thank you.

17           MS. MACDONALD: Mr. President, Members of the  
18 Tribunal, in my submissions, which conclude Mauritius's first  
19 round of submissions, I will address two points: Firstly, the  
20 U.K.'s argument that in respect of Mauritius's claims that the  
21 MPA is incompatible with the convention or perhaps now also in  
22 respect of what it calls Mauritius's sovereignty claim, there  
23 exists no dispute within the meaning of the Convention  
24 provisions, there has been no adequate exchange of views.

25           And, secondly, picking up from Professor Sands, I will

12:46 1 look further at the legal test which applies to the question of  
2 bifurcation.

3 So, firstly the existence of a dispute. Now, the  
4 U.K.'s Preliminary Objections Paragraph 4.1 discussed the  
5 cumulative requirements of Articles 283 and 286 of the  
6 Convention, that there be firstly a dispute and, secondly, an  
7 exchange of views. The U.K. says this: Neither of these  
8 requirements has been met as regards Mauritius's other  
9 (non-sovereignty claims) in the present case. There is no  
10 mention anywhere in the Preliminary Objections that this  
11 objection applies to what the U.K. has termed Mauritius's  
12 sovereignty claim. That's a completely new argument which we  
13 have heard for the first time this morning.

14 Now, this is not the day to address its substance, but  
15 as a brief initial reaction to this new point, Mauritius would  
16 express some surprise at the proposition that over the last 45  
17 years it has failed to make clear its strongly held view that  
18 the U.K. is not entitled to declare any maritime zone,  
19 including the MPA, around the Chagos Archipelago. But as I  
20 say, that will be for another day. Back to the issues for  
21 today.

22 The U.K. claims, and this is its Preliminary  
23 Objections Paragraph 6.13(b), that, and I quote "this  
24 Preliminary Objection raises no issues of fact," and then it  
25 goes on to say in parentheses, "save as to any issues that may

12:48 1 arise as to what was raised in any exchange of views upon which  
2 Mauritius may seek to rely."

3           Well, it all depends on how much lies in those  
4 parentheses. The U.K. position is essentially that this  
5 objection raises no issues of fact because it can be determined  
6 by a simple, straightforward review of a slender diplomatic  
7 record from 2009 and 2010. Twenty documents, they say, a  
8 simple task. And perhaps one could imagine a situation where  
9 one State declares a maritime zone to which another State  
10 objects, where the States concerned have no previous history  
11 and you simply look at a couple of letters, and you decide. In  
12 such a case matters might--might--be as straightforward as the  
13 U.K. suggests. But this case is not such a case, for two  
14 reasons:

15           Firstly, the complex history between the two States.  
16 As you will have seen from Mauritius's Memorial, every aspect  
17 of this claim, every part of it, traces back to the events of  
18 1965 in London, as Mauritius was gaining its independence. And  
19 to the undertakings given in the Lancaster House undertakings  
20 which you have seen.

21           The reaction of Mauritius to the MPA in 2010 can only  
22 be understood and assessed in the context of four decades of  
23 exchanges about Mauritius's rights over the archipelago, and  
24 this is all the more so now that this U.K. objection apparently  
25 also extends to what it calls the sovereignty claim, on any

12:49 1 view, a very complex dispute which dates back to 1965.

2                 The second reason why this is not just a question of  
3 looking at a few letters is the issue of other maritime zones  
4 in the archipelago. As we have discussed in Chapter 4 of our  
5 Memorial, the MPA is merely the culmination of and builds on a  
6 series of previous steps:

7                 Firstly, the fishing limits and the territorial sea  
8 which the U.K. purported to establish in 1967.

9                 Secondly, the Fisheries Conservation and Management  
10 Zone which it purported to establish in 1991.

11                 And, thirdly, the Environmental Protection and  
12 Preservation Zone which it purported to establish in 2003.

13                 Now, as you will have seen from Chapter 4 of our  
14 Memorial, Mauritius protested the establishment of each of  
15 these various zones, not least because of its historic fishing  
16 rights as recognized in the 1965 undertakings. And these  
17 protests expressly raised historic fishing rights and the  
18 absence of consultation.

19                 Now, just as the MPA in its legal structure simply  
20 builds on and incorporates the maritime zones which went before  
21 it, Mauritius's reaction to the MPA also builds on and  
22 incorporates and is conditioned by its protests about those  
23 previous zones. So, this is not just a mechanical task of  
24 looking at 2009 and looking at 2010. This goes right back--and  
25 it is intertwined particularly now that we hear the question of

12:51 1 sovereignty is up for grabs in this Preliminary Objection as  
2 well.

3                 And because, of course, the well settled case law that  
4 a State, in an exchange of views, need not refer to specific  
5 treaty provisions, this is not as simple as flicking through  
6 the record and looking for mentions of UNCLOS. Not at all.  
7 One needs to look at what the subject matter of the dispute  
8 was, what was the subject matter of the various protests, the  
9 bilateral contact, the diplomatic exchanges, the talks in  
10 2009--all of these matters over the last 45 years.

11                 And this long and complex history is also essential  
12 for a proper understanding and analysis of Mauritius's decision  
13 about when to draw those exchanges to an ends by filing this  
14 claim.

15                 Now, it's well-established, of course, that a State  
16 does not need to persist with exchanges on negotiations where  
17 there is no reasonable prospects of resolving the issue. And  
18 as we've set out in our written observations on bifurcation,  
19 the U.K. went ahead with the MPA in clear breach of the promise  
20 by Mr. Brown, then the U.K. Prime Minister, to Mr. Ramgoolam,  
21 the Mauritian Prime Minister, that it wouldn't do so.

22                 Now, after a broken promise at the highest level, what  
23 prospect was there that further letters on this subject would  
24 persuade the U.K. to back down? Is Mauritius really supposed  
25 to, as we heard this morning, have written saying, well, as we

12:53 1 have been saying for the last 45 years we still don't think  
2 you're entitled to do this kind of thing. Is that really what  
3 this Tribunal's jurisdiction depends upon?

4 Now, this is a question which we say can only be  
5 answered when determining this objection in light of a full  
6 view of the history, and it's just one example of the way in  
7 which in this case, context is everything. Here you have a  
8 long-running sovereignty dispute which is completely  
9 intertwined with the Convention issues which we have dealt with  
10 in the other parts of our submissions, and we say there is  
11 simply no fair or practical way of analyzing these instances of  
12 a dispute and the existence of the necessary exchanges of views  
13 as a preliminary issue.

14 Now, looking at the legal test, which you will apply  
15 when considering this and the other Preliminary Objections  
16 which Professor Sands and Professor Crawford have dealt with,  
17 Professor Sands has introduced this issue in his submissions,  
18 and the starting point is, of course, the Rules of Procedure  
19 which the Parties have agreed. Article 11, we say, is clearly,  
20 on a simple reading, neutral as to the timing of any hearing on  
21 jurisdiction. Article 11(3), we say, makes it quite clear that  
22 where such objections are raised, the Tribunal has the power  
23 either to address them as a preliminary matter or to defer them  
24 to the Final Award. We say that because that's simply what it  
25 says. It's clear from the language of this Article, we say,

12:54 1 there is no presumption in either direction; rather, the  
2 Tribunal is in the fortunate position of having the flexibility  
3 to choose whatever procedure it considers to be the fairest and  
4 the most efficient in all the circumstances of the case.

5                   Professor Sands has dealt with the Annex VII  
6 Tribunal's decision on bifurcation in Guyana v. Suriname. And  
7 again, we say that this is very helpful in considering how to  
8 proceed in this case. Of course, the facts were different in  
9 that case, they always are--but we say that this case shows  
10 that an Annex VII Tribunal applying a provision very  
11 similar--and you can see this in Tab 1 of the materials that  
12 we've given to you--is not required to deal with jurisdictional  
13 objections as a preliminary issue where they are, quote, "not  
14 of an exclusively preliminary character."

15                  Now, the U.K. urges you to be aware, this is at  
16 Paragraph 5(b) of its Skeleton, it urges you to be aware of the  
17 exclusively preliminary character test, which it points out has  
18 its origin in the 1972 Amendments to the ICJ Rules of Court,  
19 but we say, well, the Guyana v. Suriname Decision is a striking  
20 example of the application of this test not by the ICJ but in  
21 the highly relevant context of an Annex VII Tribunal on the  
22 basis of a very similar procedural framework to that which we  
23 have in this case.

24                  Now, in arguing for a natural default position, as it  
25 calls it, of bifurcation, that we use that phrase at

12:56 1 Paragraph 6 3(c) of its Preliminary Objections, the U.K.  
2 appears to rely, although it appears to rely in some instances  
3 and then disavow at other moments the practice of the ICJ and  
4 of ITLOS, but, of course, the ICJ Rules provide for mandatory  
5 bifurcation, at least in the sense that objections to  
6 jurisdiction will be dealt with separately in the first  
7 instance, which is a crucial distinction between that Court and  
8 this Tribunal.

9               At the bifurcation hearing, and you can see this in  
10 the transcript, in the Guyana v. Suriname case, Suriname sought  
11 to argue that like the ICJ, the Annex VII Tribunal could only  
12 decide to join jurisdiction to the merits after a full  
13 jurisdictional hearing. In other words, it could only decide  
14 properly that something was of an exclusively preliminary  
15 character having gone through a full jurisdictional phase, not  
16 after a hearing such as took place in the Summer of 2005 in  
17 that case or such as we are having today. We invite you to  
18 look in due course at the transcript of the hearing of the 8th  
19 of July 2005, and you will find that this point was raised by  
20 Professor Rosenne at Page 16 of that transcript.

21               And that argument, of course, was roundly rejected by  
22 the Tribunal in that case. The Tribunal in that case felt  
23 perfectly able after some written exchanges on the question of  
24 bifurcation in a hearing just like we're having today to take  
25 that step and to join matters to the merits. And as Professor

12:58 1 Sands has said, that has drawn no criticism. The structure of  
2 UNCLOS has not crumbled. And we say that the Tribunal's Order  
3 Number 2 in that case is a clear acknowledgment of the  
4 different structure of, on the one hand the Rules of Procedure  
5 that we have in this case and, on the other hand, the less  
6 flexible procedures which apply because of the way that the  
7 Rules are structured in the ICJ and in ITLOS.

8           U.K. made an interesting suggestion this morning,  
9 Mr. Wood was discussing Rule 79(8) of the ICJ Rules of Court  
10 which allows that Court to order Parties to raise all questions  
11 of fact and law at the preliminary stage. In other words, if  
12 it thinks it's appropriate, it can effectively turn the  
13 jurisdiction phase into something very close to the merits  
14 stage, and the U.K. suggested that implicitly this Tribunal  
15 enjoys the same power.

16           Now, we note firstly that there is no--that that is a  
17 power expressly granted to the ICJ by Rule 79(8), that there is  
18 nothing equivalent in the Rules of Procedure in this case. But  
19 be that as it may whether or not the suggestion is correct that  
20 the Tribunal could implicitly do that, what we need to think  
21 about is how does it help the U.K. to suggest that this  
22 Tribunal has the power to turn a jurisdiction phase into the  
23 merits phase? If the U.K. is really driven to suggesting that  
24 its preliminary--that the Tribunal needs such a power in order  
25 to deal with its Preliminary Objections, well, we say if you

13:00 1 have to turn the jurisdiction phase into a merits phase, have a  
2 merits phase. There is no real saving if that's the path that  
3 you go down. There is no saving of time, and there is no  
4 saving of costs.

5               Now, after this short analysis of the Rules of  
6 Procedure, I look briefly at the principles which guide the  
7 Tribunal in its exercise of the broad discretion, which I think  
8 that both parties are agreed that those Rules confer on the  
9 Tribunal, to proceed as is fairest and most efficient in the  
10 particular circumstances of this case. We say that there are  
11 two key principles that guide that discretion:

12              Firstly, where an objection to jurisdiction requires  
13 the examination of substantial amounts of evidence, then  
14 reasons of efficiency and the proper administration of justice  
15 point strongly away from bifurcation. In other words, there is  
16 no point in starting on the procedure that may well lead to you  
17 examining the same evidence twice.

18              And the second point we make that we say guides the  
19 discretion in this area is that where an Objection to  
20 Jurisdiction requires consideration of the merits of the  
21 case--and Professor Sands has touched on this--this also makes  
22 the case completely unsuitable for bifurcation. And I will  
23 deal in a little bit more detail with each of these points in  
24 turn.

25              Firstly, the question of evidence. As Professor

13:01 1 Crawford has noted, the U.K. relies heavily on the decision of  
2 the ICJ in Nicaragua-Colombia and in particular has cited in  
3 its Skeleton and today, Paragraph 51 where the Court said that  
4 the Preliminary Objection must be joined to the merits if the  
5 Court "does not have before it all the facts necessary to  
6 decide the questions raised or if answering the Preliminary  
7 Objection would determine the dispute or some element thereof  
8 on the merits."

9 Now, as Professor Crawford has pointed out, this has  
10 to be understood as a test to be applied after there has been  
11 what is always a mandatory hearing on jurisdiction before that  
12 Court at which the Parties have had the opportunity to rely on  
13 whatever evidence they choose to call. Obviously at that  
14 stage, if the Court doesn't have before it the facts necessary  
15 to decide the questions raised, it will have to proceed to the  
16 merits. But the question for this Tribunal, when looking at  
17 the evidence, is a different one. It's a forward-looking one.  
18 What is the evidence which would have to be considered in order  
19 to allow out the Tribunal to rule on the objections to  
20 jurisdiction? What evidence does it need to look at?

21 Now, when looking at that question, it's important to  
22 consider what difference there would be between the amount of  
23 evidence it needs to look at to look at the Preliminary  
24 Objections and the amount of evidence it needs to look at to  
25 rule on the merits. Where Preliminary Objections require

13:03 1 extensive consideration of the evidence, we say, there is  
2 little purpose in holding a separate jurisdictional phase. It  
3 simply causes delay and it causes expense. In the words of the  
4 Permanent Court in the Mavrommatis Palestine Concessions Case,  
5 a lengthy and costly jurisdictional phase is inefficient and,  
6 "not calculated to ensure the administration of justice."

7 Our key point here is that the issue isn't whether the  
8 facts necessary to rule on the Preliminary Objections could be  
9 placed before the Tribunal--of course they could be--the  
10 question is, as I've said, is whether those necessary facts  
11 would be significantly fewer and tighter than the facts which  
12 are needed to decide the case on the merits. And that would  
13 need to be a significant saving in order to justify the delay  
14 and the expense of a separate jurisdictional phase,  
15 particularly when we're talking, as Professor Crawford  
16 mentioned, of a situation in the world which is ongoing and  
17 which affects people. It's not--it seems sometimes in these  
18 surroundings when we're discussing these technical matters of  
19 law like a very remote issue, but it's ongoing, and it has a  
20 real human impact. And in those circumstances, we say delay is  
21 something which is not to be injected lightly into these  
22 proceedings.

23 Now, the second point which we say may guide your  
24 discretion here is the relationship between the Jurisdictional  
25 Objections and the merits. The ICJ has warned on many

13:04 1 occasions and we cited a few in our written observations, for  
2 example, the cases cited at Paragraphs 39 to 40 of the  
3 Nicaragua Decision, the ICJ has warned against taking  
4 preliminary issues which, quote, "would run the risk of  
5 adjudicating on questions which appertain to the merits or of  
6 pre-judging a solution."

7           And we say the U.K.'s objections fall squarely within  
8 this category and will lead the Tribunal straight into the  
9 forbidden area of the merits, and each of those who have  
10 addressed you have looked at different areas of the U.K.'s  
11 Preliminary Objections and how tied up they are with the  
12 merits.

13           But the U.K., I think, overstates Mauritius's position  
14 here. It says at Paragraph 5(b) of its Skeleton, that  
15 Mauritius appears to suggest that where a Preliminary Objection  
16 engages any factual determinations or a discussion of any  
17 factual or legal issues that may separately be germane to  
18 issues on the merits, it is not of an exclusively preliminary  
19 character. This is an ingenious repackaging of Mauritius's  
20 case on this point, but this is not Mauritius's case on this  
21 point. Rather, as I hope that we have made clear both in our  
22 written and our oral submissions, our position is that, in this  
23 case, the U.K.'s Preliminary Objections are, to use the  
24 language of the Barcelona Traction Decision, solely related to  
25 the merits or to questions of fact or law touching on the

13:06 1 merits, but they cannot be considered separately without going  
2 into the merits.

3                 Now, to take only one but a very striking example,  
4 Professor Sands has already referred to Paragraph 2.12 of the  
5 U.K. Preliminary Objections which state that the undertakings  
6 concerning Mauritius's fishing and resource matters, quote,  
7 "were not such as to create rights for Mauritius under  
8 international law or to impose obligations on the U.K.  
9 vis-à-vis Mauritius," and the U.K. goes on to claim that,  
10 quote, "this is clear from a plain reading of the documents on  
11 which Mauritius relies." Now, Professor Sands, in his  
12 submissions, has shown you how this assertion is really at the  
13 heart of the U.K.'s case on all of the fisheries arguments.  
14 Now, I remind you of this paragraph because this is a powerful  
15 example, we say, of an issue which is essential to the U.K.'s  
16 case on jurisdiction but could not conceivably be separated  
17 from the merits. In a case involving historic fishing rights,  
18 a ruling on the existence and extent of one Party's historic  
19 fishing rights goes right to the heart of the case.  
20                 And this paragraph, we say, is also a good example of  
21 the U.K. conflating the two separate points which we have been  
22 discussing here: Firstly, the relevance of quantity of  
23 evidence, and secondly the relevance of relationship to the  
24 merits.

25                 Now, even if the U.K. were correct to say that its

13:07 1 legal case on historic fishing rights was, quote, "clear from a  
2 plain reading of the documents," which Mauritius does not for a  
3 moment accept, this fails completely to deal with the other  
4 reason why this is not a point which could possibly be resolved  
5 as a preliminary stage, which is, of course, that. Whatever  
6 the amount of evidence you need in order to decide this point,  
7 this is a merits question par excellence.

8                   So, Members of the Tribunal, Mr. President, in  
9 conclusion of the application of the legal test, we say two  
10 things: The evidence required to decide on the U.K.'s  
11 objections is so substantial that a jurisdictional hearing  
12 would just replicate much of the work that everybody would have  
13 to undertake at a merits hearing.

14                   And we say, secondly, entering into those issues  
15 inevitably draws the Tribunal into pre-judging the merits, and  
16 this is why we say it would not be fair, and it wouldn't be  
17 efficient to hold a preliminary hearing in this case.

18                   Mr. President, Members of the Tribunal, that concludes  
19 the first round submissions of the Republic of Mauritius,  
20 unless the Tribunal has any questions that it wishes me to  
21 address at this stage.

22                   PRESIDENT SHEARER: Thank you, Ms. Macdonald.

23                   I think well, in that case, I think we will take a  
24 recess for lunch and resume at 2:15. Thank you very much.

25                   (Whereupon, at 1:08 p.m., the hearing was adjourned

13:09 1 until 2:15 p.m., the same day.)

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## AFTERNOON SESSION

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PRESIDENT SHEARER: Well, ladies and gentlemen, we  
3 resume the session.

4

I'd indicated earlier this morning that we might have  
5 formulated some questions for you, but maybe it's a comment on  
6 the excellence of the food that was provided and so on that we  
7 feel that you have done very well in the opening stages, and we  
8 haven't, in fact, got any questions for you to respond to at  
9 any time this afternoon. That may be welcome news or unwelcome  
10 news. Some counsel like being interrupted frequently, but, of  
11 course, it does not preclude the possibility that during the  
12 course of the rejoinders or rebuttals this afternoon that a  
13 judge may have a question, so that's always a possibility.

14

Anyway, at this stage, I call upon the Agent for the  
15 United Kingdom to lead off in their response to the Mauritian  
16 arguments. Thank you.

17

Mr. Whomersley.

18

MR. WHOMERSLEY: Mr. President, Members of the  
19 Tribunal, thank you very much. Yes, we will be speaking, I  
20 think, relatively briefly. It will first be Sir Michael Wood  
21 and then Mr. Wordsworth, and then I will make a short  
22 concluding statement, if I may.

23

PRESIDENT SHEARER: Thank you very much.

24

Yes, Sir Michael.

25

REBUTTAL ARGUMENT BY COUNSEL FOR UNITED KINGDOM

14:22 1 SIR MICHAEL WOOD: I will be brief, and that also  
2 reflects the quality of the food perhaps.

3 I shall limit myself to responding to just a few of  
4 the points that Mauritius made this morning. I think that what  
5 they said shows that there really is a good deal of common  
6 ground between us on some of the fundamentals of the procedural  
7 situation that we're in, but I would like to make clear that we  
8 did not seek to adopt the Suriname arguments as used in the  
9 Guyana case. We were not saying that the proceedings on the  
10 merits were automatically suspended. We read very carefully  
11 what was said by counsel for Suriname and decided not to adopt  
12 that argument.

13 However, I would like to quote the late Shabtai  
14 Rosenne, who summed up the position on Preliminary Objections  
15 in his entry in the Max Planck Encyclopedia of Public  
16 International Law, when he said in his entry on jurisdiction  
17 and admissibility, the main feature of a Preliminary Objection  
18 is that the decision on the objection is requested before any  
19 further proceedings on the merits take place, and raises issues  
20 that can be dealt with in a formal decision at the relevant  
21 preliminary stage of the proceedings. That, in my submission,  
22 sums up the essence of what it is to make a preliminary  
23 objection.

24 Ms. Macdonald this morning said that the test for the  
25 decision that you have to take following today's hearing

14:24 1 depended on two things, as I understood it: The quantity of  
2 the evidence and questions of fairness and efficiency. Well,  
3 it seems to me the quantity--the quantity--of evidence is  
4 really neither here nor there, and there is no authority for  
5 that proposition. Indeed, you could say that the more it is  
6 necessary to look into the facts to determine whether the  
7 Tribunal has jurisdiction, the stronger is the case for a  
8 preliminary objections phase. The preliminary objections phase  
9 will then clear the way for any merits phase that may take  
10 place. Of course, one shouldn't assume there will be a merits  
11 phase, but if you had a preliminary objections phase on the  
12 question of jurisdiction and you've dealt with all the facts  
13 relating to that, that will simplify considerably the merits  
14 phase.

15 In any event, I think the reference to quantities of  
16 evidence really adds nothing to the efficiency point to which  
17 Ms. Macdonald brought your attention. And on that, we would  
18 say that a separate preliminary objections phase is, in fact,  
19 likely to increase efficiency, not reduce it. It ensures that  
20 issues can be dealt with discretely, that the merits phase can  
21 be simplified, reduced, to the extent that the Preliminary  
22 Objections are upheld.

23 It's precisely the purpose of a preliminary objections  
24 phase that the objections are considered in limine litis, and  
25 there are three possible outcomes. One or more of the

14:26 1 objections may be upheld, thus avoiding the need to consider  
2 the merits with the resource and, indeed, political  
3 implications that that may involve. Even if only some of the  
4 objections are disposed of in that way, the savings and clarity  
5 that may be brought to the merits proceedings may be  
6 considerable.

7           The second result is that one or more of the  
8 Preliminary Objections are dismissed. That, too, leads to  
9 clarity at the merits stage, and that, too, may have important  
10 political consequences.

11           Or, as we saw--and this is rare--the result may be  
12 that one or more of the Preliminary Objections is deferred to  
13 the merits stage. That is a possible outcome of any  
14 preliminary measures phase, though it's rare in relation to  
15 practice of the ICJ.

16           But even in that case where an objection is deferred  
17 to the merits, there will be in all probability useful  
18 clarification and a crystallization of the issues that will  
19 simplify the final hearing. One shouldn't assume that there  
20 will simply be duplication. The Parties will see to that  
21 themselves; and, if they don't, the Tribunal will no doubt see  
22 to it.

23           This brings me back to Mauritius's all-or-nothing  
24 approach to a preliminary objections phase. That is their  
25 submission that if just one of the Preliminary Objections is to

14:28 1 be deferred, all should be deferred to the merits. As I said  
2 this morning, there is no authority for that approach, and, in  
3 our submission, it has nothing to commend it in terms of  
4 efficiency. On the contrary, if one or more of the  
5 provisional--of the Provisional Objections are--sorry,  
6 Preliminary Objections--are upheld, that could avoid the need  
7 for any merits phase. If, for example, in this case the 283  
8 objections were upheld, then the merits phase could fall away  
9 completely, regardless of the other Preliminary Objections.  
10 Or, in any event, it could at least greatly reduce the scope of  
11 the merits phase.

12 Just a word about Article 11 of the Rules, which we  
13 agree is the primary legal text. We heard a lot from the other  
14 side again about the neutral nature of Paragraph 3. I took you  
15 this morning to the text, which we say at least points to a  
16 separate hearing on objections, jurisdictional objections. I  
17 wouldn't put it higher than that, but Mauritius itself having  
18 claimed that this is neutral, goes on to say in effect there is  
19 a--the onus is on the United Kingdom to show why these  
20 objections should be dealt with as a separate phase, so they're  
21 not themselves suggesting that the approach should be neutral,  
22 but I think we could all agree that Article 11 itself does not  
23 set out an onus--set out a--Article 11 itself is, indeed,  
24 relatively neutral. What is not neutral, however, is the  
25 practice of international courts and tribunals, of dealing with

14:30 1 Preliminary Objections, particularly those relating to  
2 jurisdiction at a separate phase where there is no reason why  
3 that cannot be done.

4 Ms. Macdonald emphasized efficiency. As I explained  
5 this morning, the Preliminary Objections institution is not  
6 only about efficiency. It also reflects the more fundamental  
7 point about the role of consent in inter-State litigation. I  
8 drew your attention to what the International Court said in the  
9 ICAO Council case, where it referred to an essential point of  
10 legal principle; namely, that a party should not have to give  
11 an account of itself on issues of merits before a tribunal  
12 which lacks jurisdiction in the matter or whose jurisdiction  
13 has not yet been established. We would say that where there  
14 are real doubts about the jurisdiction of a tribunal, they  
15 should be resolved at a separate preliminary phase, if there is  
16 no obstacle to that being done.

17 And it's not only courts, but also States that have  
18 expressed this policy behind disposing of Preliminary  
19 Objections at an early stage. Such views were expressed in the  
20 Sixth Committee as long ago as the 1970s and are equally valid  
21 today. Again, to quote Rosenne, at Page 810 of his book, he  
22 notes that the view is expressed that it will be useful for the  
23 Court--this was before the amendment of the Rules in 1972--that  
24 it will be useful for the Court to decide expeditiously on all  
25 questions relating to jurisdiction. The practice of reserving

14:32 1 decisions on such questions pending consideration of the merits  
2 of the case had many drawbacks and had been sharply criticized  
3 in connection with the South West Africa and Barcelona Traction  
4 Cases.

5           It's important to emphasize that in this case, our  
6 Preliminary Objections are objections to jurisdiction. They're  
7 not objections to admissibility, they're not objections of any  
8 other kind that might be raised as a preliminary matter. And  
9 the Rules of Court, the case law, the writings, all make the  
10 point that objections to jurisdiction as opposed to other  
11 objections must be dealt with at a preliminary phase if the  
12 objecting State so requests. It is the essence of such  
13 objections that they challenge the right of the Court or the  
14 Tribunal not only to decide, but to hear the merits of the  
15 case.

16           In conclusion, I will just recapitulate, if I may,  
17 what we said the test was. It was not addressed in these terms  
18 by Mauritius, but we said that the right approach at this stage  
19 is for the Tribunal to determine whether it is in a position to  
20 conclude now that the preliminary objection should be deferred  
21 to the Final Award; that is, disposed of only at the merits  
22 stage. If the Tribunal cannot so conclude, if it cannot  
23 conclude that (a) it does not have before it all the facts  
24 necessary to decide the questions raised in the preliminary  
25 objection or (b) that answering the preliminary objection would

14:34 1 determine the dispute or some elements thereof on the merits,  
2 then it should hear the preliminary objection as a preliminary  
3 matter.

4 I emphasize the word "determine." This morning we  
5 heard from Mauritius a number of formulations, "consider the  
6 merits." What is obviously a bar to deciding a preliminary  
7 objection at a preliminary stage is if you have to actually  
8 determine the merits of the case, but the fact that you have to  
9 go into them, as the phrase is, or consider them, is perfectly  
10 natural in the case of Preliminary Objections in those cases.

11 So, with those basic remarks, I would ask you to  
12 invite Mr. Wordsworth to respond to the rest of Mauritius's  
13 submissions from this morning.

14 PRESIDENT SHEARER: Thank you very much, Sir Michael.

15 Mr. Wordsworth. Thank you.

16 MR. WORDSWORTH: Mr. President, Members of the  
17 Tribunal, I propose to deal with the issues in the same order  
18 as I addressed them this morning. That means that I propose to  
19 start with many thanks to my good friend Professor Crawford to  
20 his comparison of me to being in the same position as Don  
21 Juan's damsel.

22 Now, not quite so, I think, because of course you have  
23 to know what our preliminary objections are before deciding  
24 whether they are suitable or not for determination as a  
25 preliminary matter, and we say, of course, they are, having

14:36 1 given you some explanation as to the nature of the objections.

2                 But what I did note, of course, is that Professor  
3 Crawford then did go straight down the route of arguing the  
4 substance of the first preliminary objection; that is, our  
5 objection to your deciding the so-called "question of  
6 sovereignty," and he focused in particular on  
7 Article 298(1)(a). Well, all very well and good. And, yes, it  
8 is a very interesting provision, but its interpretation is a  
9 matter that is preeminently well suited to a discrete  
10 jurisdictional phase.

11                 Is Mauritius right that Article 298(1)(a) establishes  
12 an incidental jurisdiction in respect of all Claims where a  
13 coastal State's rights are involved? We say not, and Professor  
14 Crawford's invitation to you not to dwell on the text, and I  
15 quote, "the formally interpreted textual references in  
16 particular articles without reference to its overriding  
17 purpose," simply emphasizes this point. It shows it's a  
18 difficult issue. It's a difficult issue that goes to a  
19 centrally important issue so far as concerns the jurisdiction  
20 of a court or tribunal under Part XV of UNCLOS.

21                 And I do note that Professor Crawford's reference or  
22 his slight guiding of you away from the "formally interpreted  
23 textual references in particular articles," steering you in the  
24 direction of overriding purpose, of course, object and purpose,  
25 is scarcely a vote of confidence in favor of Mauritius's

14:38 1 interpretation of Article 298(1), and it certainly does nothing  
2 to address my point that Article 298(1) or that the Article  
3 298(1) opt-out provision, as it is, is confined to maritime  
4 delimitation, and that if Mauritius is right to say that Part  
5 XV did, indeed, confer jurisdiction in principle to determine  
6 highly controversial issues of sovereignty, there would be some  
7 equivalent opt-out provision to that which we see in respect of  
8 maritime delimitation in Article 298(1), and there simply is no  
9 such equivalent opt-out provision to be found.

10           The argument that the answer to this difficult,  
11 interesting, discrete jurisdictional issue, the argument that  
12 the answer can be found in the object and purpose of the  
13 Convention is a curious one, curious one not the least when one  
14 recalls what the Preamble of the Convention, in fact, says, and  
15 this is the introduction to the Preamble. This is the very  
16 First Statement that's made there: "The States Parties to this  
17 Convention, prompted by the desire to settle in a spirit of  
18 mutual understanding and cooperation, all issues relating to  
19 the Law of the Sea." Not a sniff there on issues of  
20 territorial sovereignty one might say.

21           And if when we are looking at object and purpose,  
22 then, of course, we'd invite you to be turning to the  
23 well-known passages as to the importance of Part XV and the  
24 scope of jurisdiction, and we would say absence of jurisdiction  
25 in relation to territorial issues that appear from the

14:40 1 negotiating record, and you see the references at  
2 Paragraph 3.40 of our Preliminary Objections. The critical  
3 point for today is that all of these interesting legal issues  
4 on the extent of jurisdiction under Part XV in relation to  
5 questions of sovereignty are precisely well suited to  
6 determination at a preliminary phase. Professor Crawford said  
7 it all depends on how the case is formulated. Well, fine. We  
8 are not asking you to decide Preliminary Objections without  
9 looking at how Mauritius has formulated its claim. To the  
10 contrary, and this is why I took you to Paragraph 1.3 of  
11 Mauritius's Memorial and how it has identified the question of  
12 sovereignty.

13           The starting point is the claim, and then you look at  
14 our Preliminary Objections.

15           And indeed, Professor Crawford accepts in terms that  
16 it is, and I quote, "It is within the competence of the  
17 Tribunal to assess the dispute that has arisen in these  
18 circumstances." That's at Page 96 of the transcript, Lines 11  
19 through 12. And he says that, "The Tribunal has at all stages  
20 of its process the right to characterize a dispute." Well,  
21 quite so. That's Page 96, Lines 16 and 17.

22           So, there is no longer any issue about that, nor could  
23 there be in any sensible way, but it is said that there are  
24 special circumstances in this case, and that somehow these get  
25 in the way.

14:42 1            And this, of course, brings in the *sui generis*  
2 argument, which, I would have to say, is, indeed, defensive, as  
3 I said earlier. And I say it's defensive because it is a sort  
4 of don't-worry argument. If one looks at Paragraph 1.10 of  
5 Mauritius's Memorial, it makes its point about this case being  
6 deeply embedded in colonialism, and then it says, "for these  
7 reasons, this *sui generis* case cannot be considered in the same  
8 light as other disputes that raise issues of sovereignty and  
9 the exercise of rights of over maritime spaces." Of course  
10 it's defensive, of course Mauritius is aware it is asking this  
11 Tribunal to define critical controversial issues of sovereignty  
12 is going to ring alarm bells across all States Parties to  
13 UNCLOS that have any disputed issues as over territorial  
14 sovereignty.

15            "Is it *sui generis*? Well, maybe Mauritius is right,  
16 maybe it's not right, but the real point is that this *sui*  
17 *generis* assertion does not impact on the question of the scope  
18 of your jurisdiction under Article 288(1). Either you have  
19 jurisdiction to decide the question of sovereignty or you do  
20 not. And that is a question you can decide at a preliminary  
21 phase without going into the *sui generis* assertion at all; or,  
22 if you think it matters, and we really think it does not  
23 matter, you can decide whether you accept Mauritius's  
24 characterization of its claim as *sui generis* or not. None of  
25 this makes you decide issues on the merits of a jurisdictional

14:44 1 phase.

2 I turn to what Ms. Macdonald has said on Article 283.  
3 Mauritius persists in the argument that you're going to have to  
4 go through decades of diplomatic exchanges that will take you  
5 into the merits. There are two points to make about that.

6 First, this is not what Mauritius said in its  
7 Memorial, where it said that the relevant exchanges took place  
8 in 2009-2010, and it's worth just taking you briefly to  
9 Paragraph 5.38 of the Memorial. And I say Chapter 5 is an  
10 important piece of the background reading to today's  
11 application because that is where Mauritius sets out its case  
12 on jurisdiction. It has a Section 4, which is called "Exchange  
13 of Views," and it's that--there are only two short paragraphs  
14 underneath under that heading, and the critical one is 5.38.

15 "As set out in Chapter 4, there is evidently dispute  
16 between Mauritius and the U.K. concerning the legality of the  
17 MPA under the Convention and the 1995 Agreement. This is  
18 reflected in a series of Notes Verbales and other  
19 communications and exchanges taking place in 2009 and 2010, and  
20 again following the purported establishment of the MPA in  
21 April 2010.

22 So, 2009-2010, there is then a footnote reference.  
23 Footnote 395, you will see there they refer to four sets of  
24 paragraphs from Chapter 4.

25 Now, if you go to those individual paragraphs, what

14:46 1 you will see is references to the events of 2009 and 2010.

2 That's why I say they're relying on what is a very recent  
3 diplomatic record.

4                 The paragraph continues, "As set out in Chapter 4,  
5 there has been a full exchange of views between Mauritius and  
6 the U.K. concerning the dispute in regard to the MPA and  
7 related matters, including the deposit with the UN  
8 Secretary-General of coordinates of delimitation in accordance  
9 with Article 75 of the Convention. Those exchanges encompass  
10 both the U.K.'s claimed entitlement to establish an MPA as a  
11 coastal State and its exercise of purported rights under the  
12 Convention. By 2010, by December 2010, it was plain that any  
13 further exchange of views would be futile--that was a point  
14 that Ms. Macdonald came back to--as the U.K. was fully  
15 committed to the establishment of the MPA including as a means  
16 of preventing the return of the Chagossians. Mauritius was,  
17 therefore, entitled to initiate these arbitration proceedings."

18                 So, it might be thought that in putting before you the  
19 prospect of going through decades of diplomatic correspondence  
20 that Mauritius is trying to find difficulties as far as  
21 concerns today's hearing rather than putting before you  
22 problems that would actually be faced at a jurisdictional  
23 phase. But if it now insists on going back in time, there is  
24 no great problem, as I said earlier. So what? We're still  
25 puzzled by the idea that you might have to go back decades,

14:47 1 particularly puzzled, of course, when you think that the  
2 disputes concerns the legality under UNCLOS of an MPA declared  
3 in April 2010, and in circumstances where it may be added  
4 United Kingdom only ratified UNCLOS in 1997.

5           How, one might ask, could there be a dispute and an  
6 exchange of views in relation to dispute of a convention not in  
7 force between the Parties concerned?

8           And in this respect we refer you to Paragraph 34 of  
9 the Georgia and Russia case where the ICJ expressly  
10 distinguished the materials that Georgia relied on to establish  
11 the dispute in negotiations in that case, distinguishing  
12 between those materials dated before and after Georgia's  
13 ratification of the Convention for the Eradication of Racial  
14 Discrimination in 1999.

15           The obvious point is that in looking at whatever  
16 quantities of diplomatic exchanges Mauritius seeks to put  
17 before you, what you have to consider before you is first, does  
18 this establish the existence of the UNCLOS dispute that  
19 Mauritius puts before you in this case; and, secondly, has  
20 there been an exchange of views on that dispute? It may  
21 conceivably be a lengthy exercise, as it was in the Georgia and  
22 Russian case, but it is not one that engages decisions on the  
23 merits. The same applies as far as concerns the hopeful  
24 suggestion that you can't decide on whether further exchanges  
25 would have been futile as of December 2010. Of course you can.

14:49 1 As, for example, the Court did at the preliminary objections  
2 phase in the Lockerbie case, and as is being done in  
3 jurisdictional phases in countless investment treaty cases.

4           I turn to the issues in our third preliminary  
5 objection raised by Professor Sands, while I shall deal along  
6 the way with his attempt to say this is all just a re-run of  
7 the Guyana and Suriname case. There is an invitation to you to  
8 read the transcript on the hearing on bifurcation in that  
9 matter, and please do, we would say, although we do think it  
10 would be helpful, first, for you to look at Suriname's  
11 Preliminary Objections, the written document, written filing  
12 that I referred to this morning of May 2005, so you fully  
13 understand the objections that were being put forward. I say  
14 that with all due deference to the President because, of  
15 course, he is extremely familiar with this already.

16           As Sir Michael said earlier, you will see that the  
17 legal position adopted by the late Professor Rosenne in that  
18 case was quite different to that of the U.K.'s position today.

19           As to Guyana's position, counsel for Guyana began with  
20 the statement that a hearing on Suriname's objections--and I'm  
21 quoting from Page 17 of the transcript, Lines 23 to 25--would  
22 be futile in the sense that the result would inevitably lead to  
23 a joinder of issues of jurisdiction to the merits.

24           He continued, transcript Page 18, Lines 36 to 37, that  
25 Suriname's request was premised on a defense to the merits. It

14:51 1 is not an argument about jurisdiction.

2               And he said a little later that, "If there were a  
3 separate Decision on Jurisdiction, it would be a decision which  
4 we say would be found to conclude that you cannot separate  
5 these issues out from the merits. Well, that's a perfectly  
6 sensible test, and, indeed, it is a perfectly fair exposition  
7 so far as concerns Suriname's so-called preliminary objection."

8               Later, as Guyana's counsel continued, and I quote,  
9 "You have seen the memorandum on objections that Suriname has  
10 put in. It all goes to the defense on the merits, whether or  
11 not there was an agreement on Point 61, whether or not Suriname  
12 was or was not justified to use force, and so on and so forth.  
13 The arguments are inevitably and inextricably linked to the  
14 merits." That's Page 26 of the Guyana-Suriname transcript,  
15 Lines 32 to 38. Well, quite right so far as concerns Guyana  
16 and Suriname. But the contention that the Preliminary  
17 Objections in this case are similarly inextricably linked to  
18 the merits is pure wishful thinking.

19               As to our so-called "weak point" on Article 2.97 and  
20 then our sovereignty claims, the argument came down to taking  
21 two short passages from our Preliminary Objections and saying  
22 that you have to decide any factual issue that we may have  
23 alluded to in our Preliminary Objections. Now, that is not so,  
24 and this is not how we've put our Preliminary Objections  
25 either, either in writing or in our submissions today.

14:53 1 Mauritus's point comes down to three instances where  
2 it says you have to get into the merits. On the question of  
3 application of Article 297(1)(c) on the application of  
4 specified international rules and standards, it says you have  
5 to go into evidence on what the MPA is; and, as I said earlier,  
6 of course you can do that in applying Article 297. Of course  
7 you can look at the documents establishing the MPA.

8 I recall, in its Memorial, Mauritius relies on three  
9 provisions as far concerns this part of its non-sovereignty  
10 claims, Articles 55, 62(5), and 194 of the Convention. We have  
11 specific jurisdictional objections which show how those  
12 provisions do not fall within Article 297(1)(c). Articles 55  
13 and 62(5) concern the regime of the EEZ and utilization of  
14 living resources within the EEZ, and the intent behind Article  
15 297(1)(c) was evidently not to introduce into  
16 dispute-resolution matters that are specifically excluded by  
17 Article 297(3)(a). Their invocation of these two provisions  
18 simply doesn't get them through the door so far as concerns  
19 Article 297(1)(c), a specifically jurisdictional issue.

20 The same applies to Mauritius's invocation of  
21 297(1)(c) with respect to its claim for breach of  
22 Article 63(1). That is the claim of failure to coordinate with  
23 respect to the development of tuna stocks.

24 As to Article 194, this concerns measures necessary to  
25 prevent, reduce, and control pollution of the marine

14:55 1 environment, not the Declaration of an MPA. Mauritius says  
2 we're wrong. Well, fine, but these are matters we can decide  
3 at a separate jurisdictional phase. As I said earlier, the  
4 Tribunal can, if need be, look at documents establishing the  
5 MPA at a preliminary objections phase and decide, for example,  
6 whether it is aimed at prevention of pollution as Mauritius  
7 contends so far as concerns its Article 194 claim.

8           This takes me to Mauritius's territorial sea claim  
9 under Article 2(3). This is now said to be a no-go area, and  
10 you're not allowed even to interpret Article 2(3) for  
11 jurisdictional purposes, which is flatly inconsistent with the  
12 ICJ's approach that the jurisdictional objections phase in the  
13 Oil Platforms Case, which you may, in fact, recall is helpfully  
14 explained in the Separate Opinion of Judge Higgins in that  
15 case.

16           Article 2(3) reads--and it's probably worth just going  
17 to this briefly so you have it fully in mind--the sovereignty  
18 over the territorial sea is exercised subject to the Convention  
19 and to other rules of international law.

20           What we say about that provision and the  
21 jurisdictional objection that we ask you to resolve in due  
22 course at a discrete jurisdictional phase is at 5.45 and  
23 following of our Preliminary Objections. As we explained  
24 there, UNCLOS does not give other States any right to fish in  
25 the territorial sea. Mauritius's claim to do so depends

14:57 1 entirely on whether there is, as it argues, an undertaking  
2 binding under international law by the United Kingdom vis-à-vis  
3 Mauritius to permit fishing by merchant vessels in the  
4 territorial sea or on the basis of in-shore fishing rights  
5 traditionally exercised by Mauritian fisherman. Whether  
6 Mauritius has these rights within the BIOT territorial sea or  
7 by its waters beyond the territorial sea is not a question  
8 relating to the interpretation or application of UNCLOS as  
9 required by Article 288(1), and is not covered by any agreement  
10 to submit disputes concerning such non-UNCLOS rights to Part XV  
11 dispute settlement pursuant to Article 288(2).

12 So, we say this part of the claim is beyond your  
13 jurisdiction. It's a jurisdictional argument. The point is  
14 then developed at 5.48. "References to Article 2(3) of UNCLOS  
15 do not assist Mauritius. To say that sovereignty in the  
16 territorial sea is exercised subject to other rules of  
17 international law is to state an obvious fact." I think  
18 Professor Sands may have got excited about that point. "But  
19 Article 2(3) does not incorporate other treaties nor a fortiori  
20 unilateral undertakings into the Convention. Mauritius simply  
21 assumes that Article 297 confers jurisdiction over disputes  
22 concerning the territorial sea that do not concern innocent  
23 passage."

24 There is the jurisdictional argument. We say you look  
25 at Article 2(3), and you, as the Tribunal, do not have the

14:59 1 jurisdiction within 2(3) to decide on the nature and content of  
2 all these undertakings. Right or wrong, it's a point that  
3 you're entirely entitled to engage in at a preliminary  
4 objections phase. That is precisely what the International  
5 Court of Justice did in the Oil Platforms Case. It interpreted  
6 the provisions; you will remember the 1955 Iran-U.S. Treaty of  
7 Amity for jurisdictional purposes to see if the facts alleged  
8 by Iran could constitute breaches of the Treaty relied on, the  
9 individual provisions of the Treaty relied on. And you will  
10 recall that it knocked out most of the heads of the claim but  
11 let in one head of claim dealing with breach, and I think it  
12 was, Article 10 to do with freedom of Commerce and Navigation.  
13 These are matters that you can quite properly engage in at a  
14 discrete jurisdictional phase.

15 I should add, however, that the claim seems to come  
16 down to an allegation that somehow, in 1965, long before there  
17 was any concept of an EEZ, the U.K. exercised its sovereign  
18 rights so as to restrict what it could do in the future so as  
19 concerns Declaration of an MPA. If that is so, that's what  
20 this claim is really about, it comes down to an exercise of  
21 sovereign rights, and that is precisely the subject of an  
22 exclusion under Article 297 of the 1982 Convention.

23 Another pure jurisdictional issue.

24 On abuse of rights, I need only take you back to what  
25 we say in our Preliminary Objections, and that's at

15:01 1 Paragraph 5.54. We say, "The Article 300 claim is simply a  
2 repackaging of Mauritius's other allegations of breaches of  
3 UNCLOS. If the Tribunal has no jurisdiction over the alleged  
4 violations of the relevant fisheries articles of UNCLOS--that's  
5 Article 61 through to 64--then it follows it can have no  
6 jurisdiction over an alleged abuse of rights arising out of the  
7 same provisions. If the Tribunal were to interpret abuse of  
8 rights in Article 300 as creating an independent basis of  
9 jurisdiction over fisheries disputes, it would render Articles  
10 297(3)(a) and (b) redundant and undermine the carefully  
11 constructed dispute-resolution provisions of Part XV of  
12 UNCLOS."

13 Again, a pure discrete jurisdictional issue.

14 Finally, I want to return briefly to the  
15 practicalities because it is worth pausing to compare, in pure  
16 case management terms, the competing possibilities of, on the  
17 one hand, a discrete jurisdictional phase of, say, three days'  
18 argument addressing serious and important jurisdictional  
19 objections against the competing possibility of a joint hearing  
20 of jurisdiction and of merits, spanning, say, three weeks in  
21 the course of which, in addition to these jurisdictional  
22 issues, Mauritius would be making its argument on sovereignty  
23 over the islands on the basis of the principles of territorial  
24 integrity, self-determination, and so on, complex and  
25 time-consuming issues, and then you would be looking at its

15:03 1 claim for existence of certain specific rights stemming from  
2 the 1965 arrangements and then its arguments as to how these  
3 were breached by the MPA and its claim that the MPA breaches  
4 the 10 provisions of the Convention. This they did in detail  
5 in Paragraph 5.35 of the Memorial and then, of course, the U.K.  
6 has to defend the claims.

7 And the end result of all this might well be no more  
8 than to take one distinctly possible outcome, a ruling from the  
9 Tribunal that the requirements of Article 283 were never met,  
10 and it lacks jurisdiction, or another distinctly possible  
11 outcome--more than distinctly, we would say, that the Tribunal  
12 has no jurisdiction to decide questions of sovereignty, and you  
13 have got there after a lengthy and costly three-week hearing.

14 In fact, it's probably wrong to focus just on the time  
15 of the hearing. And important also to focus on the length of  
16 time it would take putting together the written pleadings that  
17 would lead into the hearing.

18 We say, coming to those decisions on jurisdiction at  
19 the end of a lengthy merits hearings would represent a most  
20 unsatisfactory result in case management terms, at least from  
21 the perspective of the United Kingdom, but also from the  
22 perspective of the Tribunal, the members of which no doubt have  
23 many other demands on their time other than sitting through  
24 exhausting arguments on the merits that, in the end, it decides  
25 that it did not have to decide.

15:05 1            And thinking through these practical realities serves  
2 to demonstrate that the U.K.'s approach to Article 11(3) must  
3 be the correct one. Article 11(3) cannot be sensibly  
4 interpreted as directed to such an approach, markedly out of  
5 scope with the practice of the ICJ and ITLOS that produces a  
6 result that simply makes no sense in case management terms, and  
7 also cuts across the whole purpose of preliminary objections in  
8 protecting a State from having to defend the merits of a claim  
9 where there are material issues as to jurisdiction.

10            Mr. President, Members of the Tribunal, I thank you  
11 for your kind attention. And if there are no questions at this  
12 stage, I ask you to call Mr. Whomersley to the podium to  
13 conclude our submissions.

14            PRESIDENT SHEARER: Thank you very much,  
15 Mr. Wordsworth.

16            Yes, I call upon Mr. Whomersley to make a final  
17 statement. Thank you.

18            MR. WHOMERSLEY: Mr. President, Members of the  
19 Tribunal, at this stage I will only say one thing: The United  
20 Kingdom takes a very serious view of this case. Mauritius is  
21 seeking to challenge United Kingdom's sovereignty over part of  
22 its land territory by invoking the Law of the Sea Convention.  
23 This is not only a challenge to our sovereignty, something no  
24 State will take lightly, it is also a serious challenge to the  
25 Law of the Sea Convention itself.

15:07 1            It remains for me to make the concluding submissions  
2 on behalf of the United Kingdom, and I will do so briefly. For  
3 the reasons given in writing and orally today, the United  
4 Kingdom respectfully requests the Tribunal not to defer the  
5 United Kingdom's Preliminary Objections to the Final Award, but  
6 to decide in accordance with Article 11(2)(a) of the Rules of  
7 Procedure that its Preliminary Objections be dealt with as a  
8 preliminary matter.

9            I would like finally to thank you, Mr. President,  
10 Members of the Tribunal, for your kind attention today. I  
11 would like to thank our Registry provided by the PCA's  
12 international bureau and to our kind hosts here in Dubai, the  
13 Dubai International Arbitration Centre for the excellent  
14 arrangements that have been put in place for this hearing.

15            Thank you very much, Mr. President.

16            PRESIDENT SHEARER: Thank you very much,  
17 Mr. Whomersley.

18            Now I call upon the Agent for Mauritius, Mr. Dabee.  
19            Oh, we have a break, of course. I'm terribly sorry.  
20 Well, we've set aside half an hour for break--45 minutes, and  
21 as from quarter past 2:00, 10 past 3:00, well, we will resume  
22 at 4:00. Will that be satisfactory? Okay.

23            (Off the record from 3:09 p.m. to 4:00 p.m.)

24            PRESIDENT SHEARER: Well, now, I will call now on  
25 Mr. Dabee. I'm sorry to have given you a fright before, but

16:01 1 now it is your turn to--

2 MR. DABEE: Mr. President, we shall be rebutting, and  
3 Professor Crawford will address you first followed by Alison  
4 Macdonald, and finally I will make a few concluding remarks  
5 after they finish.

6 PRESIDENT SHEARER: Thank you very much.

7 Professor Crawford.

8 REBUTTAL ARGUMENT BY COUNSEL FOR MAURITIUS

9 PROFESSOR CRAWFORD: Thank you, Mr. President and  
10 Members of the Tribunal. I shall add to what the Agent just  
11 said, that we will be brief, mercifully perhaps at this stage  
12 of the afternoon.

13 The Tribunal has no questions and we have nothing to  
14 say on Preliminary Objection 3. Everything that needed to be  
15 said was said this morning.

16 But I have something to say about Preliminary  
17 Objection 1, and Ms. Macdonald has something to say about  
18 Preliminary Objection 2, and we will try and do that as briefly  
19 as possible.

20 Mr. President and Members of the Tribunal, we believe  
21 that we have established three things, and there is not much  
22 room for disagreement on those three things.

23 First is that under your Rules, as formulated, there  
24 is no presumption in favor of bifurcation. And the practice of  
25 tribunals varies, but these Rules do not provide for

16:03 1 suspension. They do not provide for a maxi hearing on  
2 jurisdiction. As, Sir Michael Wood said, they are more or less  
3 balanced. We don't think that the practice of the ICJ can be  
4 added to one side of the equation in a situation in which the  
5 language of the ICJ statute is actually not replicated.

6                 The second thing, I think, on which we agree is that  
7 the Tribunal has the discretion in this matter, taking into  
8 account all the circumstances.

9                 And the third thing, although Mr. Wordsworth professes  
10 to disagree with this, but it seems to me to be inevitable from  
11 the language of the relevant texts, is that Courts and  
12 tribunals under Part XV of the Convention have some level of  
13 incidental jurisdiction; how much, one can debate.

14                 The United Kingdom took quite a lot of time discussing  
15 the abstract question whether the issue of sovereignty over  
16 territory was ever within jurisdiction, and that question can  
17 be debated in Law Review articles, but the question is not  
18 whether it's ever within jurisdiction; it's whether it's within  
19 jurisdiction in this case.

20                 In other words, the question is whether this  
21 interesting abstract law review question can be divorced from  
22 the merits of this case and determined on the basis of general  
23 legal argument and authority. We say that it cannot, and there  
24 are three reasons for that:

25                 First, this is a mixed dispute. It's a dispute about

16:04 1 a Marine Protected Area and its consistency with the  
2 Convention. That means that on the ordinary accepted legal  
3 meaning of "dispute arising" or involving interpretation or  
4 application of the Convention, this dispute does involve the  
5 interpretation or application of UNCLOS. It no doubt involves  
6 other things as well, but that's usual with disputes.

7           It's impossible to examine the nature or to  
8 characterize a mixed dispute without looking at the facts, the  
9 history, and the context. Mr. Wordsworth put it in Cartesian  
10 terms when he said that either you have jurisdiction or you  
11 have not. But whether you have jurisdiction in relation to a  
12 mixed dispute is a question that can't be decided in the  
13 abstract, and there is no presumption that it should be decided  
14 as a separate question.

15           We say that the facts, the history and the context are  
16 such that the question cannot or should not be considered in  
17 the abstract and they are such that the U.K. in this case, at  
18 least, could not or should not have declared the Marine  
19 Protection Area unilaterally. That's the second point. The  
20 facts, the history, and the context are such that the question  
21 whether the U.K. could or should have declared the MPA  
22 unilaterally arises in relation to those facts and  
23 circumstances.

24           If, for example, the Tribunal was to consider the  
25 question, the abstract question, the law review question of

16:06 1 jurisdiction over sovereignty in general, in the second round  
2 we would argue--and unless this Tribunal told us not to--that  
3 although that may be true in general, it was not true in  
4 relation to these facts in this situation. Now, either you  
5 preempt that question by deciding it without examining the  
6 facts or you leave it to the merits to decide. The first is  
7 unfair. The second is more convenient. And this leads to my  
8 third point, which concerns efficiency and non-duplication of  
9 effort.

10 Now, you've heard what both sides have had to say on  
11 the question of efficiency and its views assessed in the  
12 circumstances. I have to say that I have been in one or two  
13 cases in which the Tribunal--I use the word "Tribunal" in a  
14 generic sense--has appeared to preempt the merits by some  
15 decision taken at the preliminary stage, and it leaves a bad  
16 taste in the mouth whether or not the case proceeds. Case  
17 management is one thing and allowing the parties to make their  
18 arguments is another thing, and the Tribunal should, in case of  
19 doubt, prefer the second course to the first.

20 The change in the ICJ Rules that occurred in the late  
21 Seventies was due to the debacle of the South West Africa Cases  
22 and the Barcelona Traction Case, as was referred to by my  
23 learned friend Mr. Wordsworth. They were cases which lasted  
24 the best part of a decade in which, for example, as described  
25 in the recent biography of Sir Percy Spender, the President in

16:08 1 the South West Africa Cases, which the world was listening to  
2 the Court, in 1966, having had a full case decision on the  
3 merits, listening to the Court and having a decision of an  
4 abstract--sorry--a rather arid character in relation to the  
5 issues that appear to have been decided. And that case is a  
6 mile away from this case. This case was started relatively  
7 recently, has been dealt with very efficiently, is due to be  
8 argued on the merits next year, and the suggestion is that it  
9 should be strung out in a way which is unnecessary having  
10 regard to the character of the issues and the pleadings and  
11 is--will involve duplication in the way that I've already  
12 suggested.

13           The International Court cases dealing with incidental  
14 consideration of the merits at the jurisdictional stage use the  
15 Permanent Court formula to touch upon particular issues, and  
16 it's clear that at the jurisdictional stage, the Tribunal may  
17 touch upon or consider issues of the merits in the context of  
18 its decision. But to use a distinction that Don Juan's damsel  
19 would have recognized, there is a distinction between touching  
20 upon something and embracing it. And in the present case, if  
21 you are to decide this case satisfactorily, it will require you  
22 to embrace the merits in a full-hearted way. In other words,  
23 there will be two substantial hearings, as we say that it's  
24 inevitable there will be a second, in which many of the same  
25 issues will be canvassed and recanvassed in slightly different

16:10 1 legal contexts, and that's not efficient.

2 Mr. Wordsworth said that I did not refer to the  
3 equivalent opt-out issue in relation to the rest of the UNCLOS.  
4 But we have to take UNCLOS as it stands, and, as it stands, it  
5 makes a distinction in Article 298 between maritime  
6 delimitation cases and cases involving, for example,  
7 entitlement to maritime territory, Article 121. If the Parties  
8 had wanted to exclude on an optional basis consideration  
9 whether particular rocks, for example, are entitled to an  
10 exclusive economic zone, they would have included Article 121,  
11 Paragraph 3 in the provisions of Article 298. They didn't do  
12 so. It's been said that Part XV of the Convention was  
13 overprepared, overdrafted, overnegotiated. It certainly took a  
14 long time to do because other things were going on, but it was  
15 very carefully considered.

16 And the distinction that it draws between maritime  
17 delimitation and other cases is a distinction which strikes the  
18 eye, and it seems, with respect, illegitimate to use  
19 unspecified or largely unspecified aspects of the travaux  
20 préparatoires in order to deny the obvious inference that's to  
21 be drawn from Article 298.

22 Reference is made to the Preamble of the Convention.  
23 The Preamble of the Convention refers to all issues of the Law  
24 of the Sea--all issues of the Law of the Sea--and the  
25 indications are that it was intended to be comprehensive.

16:12 1 What's the point of referring to other matters of international  
2 law unless you already have a relatively comprehensive  
3 jurisdiction?

4                   United Kingdom is silent in relation to my reference  
5 to Professor Boyle's view, which can't be described as  
6 heterodox, so let me take you to the relevant pages. This is  
7 the article in the 1997 International Comparative Law  
8 Quarterly, where he says at Page 44, and it's Tab 7 in this  
9 morning's bundle, if you would like to look at it.

10                  At Page 44, he says the second problem in relation to  
11 maritime boundaries, "the second problem arises from the  
12 combination of Articles 297 and 298. Take a dispute involving  
13 EEZ claims around a disputed island or rock, such as Rockall,  
14 and the exercise of fisheries jurisdiction by one State within  
15 this EEZ. How do we characterize this dispute? [...] Does it  
16 necessarily involve disputed sovereignty over land territory so  
17 that even compulsory conciliation is excluded? Or is it a  
18 dispute about entitlement to an EEZ under Part V in Article  
19 121, Paragraph 3, of the Convention? If it is the last, it is  
20 not excluded from compulsory jurisdiction under either Article  
21 297 or 298. Much may thus depend on how our hypothetical  
22 dispute is put."

23                  It's not necessary for present purposes, I should say  
24 in fairness, to answer these questions, but they should suffice  
25 to show that everything turns in practice not on what each case

16:14 1 involves but on how the issues are formulated. Formulate them  
2 wrongly and the case falls outside compulsory jurisdiction.  
3 Formulate the same case differently and it falls inside.

4 That was an exploration of the possibilities, and I  
5 have explored them even further today, and the Tribunal will no  
6 doubt have to explore them in due course. We say it is both  
7 fairer and more efficient and consistent with the Procedural  
8 Timetable that you've laid down that you consider them together  
9 with the merits in a single hearing.

10 Mr. Wordsworth refers to the *sui generis* argument to  
11 which he was not, I may say, very generous. But as I've said,  
12 if you decide this case in the abstract, unless you exclude the  
13 *sui generis* argument, it remains on the table for the merits  
14 phase, and we will argue it in greater detail at that stage,  
15 but our case really is different. This really is a case where  
16 whatever the position of the United Kingdom, Mauritius had  
17 recognized legal interests in relation to the Marine Protected  
18 Area, and that issue will not be evaded by a jurisdictional  
19 decision on the abstract question.

20 Counsel referred--although the Tribunal has directed  
21 us not to refer to new authority, counsel did refer to new  
22 authority in the form of the Oil Platforms Case in which the  
23 Court disqualified two of the three Articles of the Treaty of  
24 Amity on which Iran relied as capable of supporting  
25 jurisdiction in relation to allegations of destruction of Oil

16:15 1 Platforms during armed conflict in the Gulf. I have to say, I  
2 vividly remember after that decision was handed down being told  
3 by colleagues, the Court has decided some of the merits for  
4 you. But however that may be, it's important to look at the  
5 two Articles which the Court actually held were not capable of  
6 covering the allegations made.

7 Article 1 said, this in resounding terms nearly 20  
8 years after the Iranian Revolution, there should be firm and  
9 enduring peace and sincere friendship--sincere  
10 friendship--between the United States and Iran. And the Court  
11 said, and I think with some credibility, that a clause of that  
12 sort was not capable of supporting the incorporation by  
13 reference of Article 2(4) and 51 of the United Nations Charter,  
14 not to say anything about Chapter 7. It was a preambular  
15 paragraph which was relevant to interpretation of the  
16 Convention but nothing else.

17 That's a long way from our reliance on provisions such  
18 as Article 2(3) of the Convention, which are determinant in  
19 meaning, capable of meaning what they say and which do  
20 expressly incorporate by reference the Rules of international  
21 law. But the Court said that it cannot, taken in isolation, be  
22 a basis for the jurisdiction of the Court; and courts sometimes  
23 do that, but we say this is not such a case.

24 The second Article is Article 4, which dealt with the  
25 fair and equitable treatment of companies of the other State.

16:17 1 Now, the fact is that the oil platforms are owned by a  
2 State-owned corporation, but again the Court said that  
3 Article 4 was not capable of bearing the weight, did not cover  
4 the claim that was made. That does not involve reformulating  
5 the claim. The claim was made in explicit terms in relation to  
6 the use of armed force against the oil platforms in the Gulf.  
7 But it was clearly not covered by provisions on which Iran  
8 relies. That left another Article, Article 10, which the Court  
9 held was capable of covering the dispute, although whether it  
10 did was, of course, a matter left to the merits.

11           It has to be conceded that the Courts have shown some  
12 level of discretion in deciding whether to bifurcate or not,  
13 and I already conceded--already accepted that some discretion  
14 is involved, but for the reasons we have given and for reasons  
15 which Ms. Macdonald will elaborate upon further, any discretion  
16 you have in this case should be exercised firmly in favor of  
17 not bifurcating, of dealing with the case as a single entity  
18 because that's the way it has been presented, and that's more  
19 consistent with the Procedural Timetable which this Tribunal  
20 has laid down.

21           Mr. President, unless there are any questions, that's  
22 all I have to say, and I ask you to call upon Ms. Macdonald.

23           PRESIDENT SHEARER: Thank you very much, Professor  
24 Crawford.

25           So, I call on Ms. Macdonald. Thank you.

16:19 1 MS. MACDONALD: Thank you.

2 Just two brief concluding topics from me, the first  
3 being a few words on the U.K.'s second preliminary objection,  
4 namely whether or not there is a dispute under the Convention  
5 and whether there has been the necessary exchange of views.

6 We said almost everything that we wanted to say on  
7 this topic this morning, but I thought it was important to look  
8 for a moment at Paragraph 5.38 of Mauritius's Memorial on which  
9 the U.K. places some weight. I don't ask the Members of the  
10 Tribunal to turn it up at present, but for the transcript and  
11 for the note, there are a number of points that we make about  
12 it.

13 This paragraph is said to, I think, involve some  
14 concession by Mauritius, that, indeed, all that the Tribunal  
15 needs to do to decide on this preliminary objection is to take  
16 a snapshot of the diplomatic record in 2009 and 2010. We  
17 invite the Tribunal to read that paragraph, and the Tribunal  
18 will see that it says, among other things, as set out in  
19 Chapter 4--and you will recall that Chapter 4 of Mauritius's  
20 Memorial sets out the U.K.'s successive claims to maritime  
21 zones over the Chagos Archipelago over several decades. It is  
22 not limited to the formation of the MPA, and it sets out  
23 Mauritius's consistent and strong objections to the U.K.'s  
24 entitlement to declare those zones.

25 As set out in Chapter 4, there has been a full

16:21 1 exchange of views between Mauritius and the U.K. concerning the  
2 dispute in regard to the MPA and related matters, and we give  
3 one example of the related matters, including the deposit with  
4 the UN Secretary-General of coordinates and delimitation in  
5 accordance with Article 75 of the Convention, an event which,  
6 of course, took place in 2003, as we set out in Chapter 4.

7           So, this is not a question as one example that we  
8 give. There is not a question at all of any suggestion by  
9 Mauritius that what you can do is simply look at the exchanges  
10 which are closest in time to the declaration of the MPA, when,  
11 in fact, this is a zone which, quite clearly on its face and in  
12 its very legal structure, builds upon past zones to which  
13 Mauritius has consistently objected over decades, where those  
14 consistent objections have been expressly pleaded and relied  
15 upon in Mauritius's Memorial annexed to it and, to add another  
16 complicating factor, where those consistent objections are  
17 intertwined with the complex and long-running sovereignty  
18 dispute, which we have separately dealt with.

19           So, we say that there is absolutely nothing in this  
20 paragraph or otherwise to detract from Mauritius's position  
21 that this is a matter which is complex, requires the Tribunal  
22 to look very carefully at the long and unhappy history in order  
23 to understand both the expressions that Mauritius has made of  
24 the substance of its objections over the years; and secondly,  
25 properly to place an analysis of that into a proper context.

16:22 1 That's all we say about the U.K.'s second preliminary objection  
2 and its reliance on that paragraph.

3               Now, just to pick up on the final point that  
4 Mr. Wordsworth made, which he headed "practicalities."

5               He asked you to compare two situations: A three-day  
6 preliminary hearing on jurisdiction alone, and a three-week  
7 merits hearing.

8               And we say that's a false comparison. Why? Because  
9 of the substantial quantity of evidence you will have to review  
10 in order to rule on the U.K.'s objections, and this stems from  
11 the nature of those objections and the nature of the evidence  
12 that's required to look at those. So, it's simply a false  
13 comparison. There is not realistically going to be anything  
14 other than a very substantial and significant jurisdiction  
15 hearing in this case, if that is the path that the Tribunal  
16 chooses to follow.

17               The real comparison, we say, is between a lengthy  
18 preliminary hearing then followed, if the Tribunal rules it has  
19 jurisdiction over the claim, by a lengthy merits hearing  
20 covering much of the same ground, or a lengthy merits hearing  
21 at which everything is dealt with at once, without trying to  
22 hive matters off or hearing evidence which the U.K. says will  
23 then not be duplicated at the merits hearing, so leaving some  
24 evidence in the past and not looking at it again even though  
25 it's relevant to the merits when you get to the merits hearing.

16:24 1 It's not clear to us at all how such a procedure would clarify  
2 matters or would streamline matters or would in any way be an  
3 efficient way to proceed.

4           And, as Professor Crawford has mentioned, on the Rules  
5 of Procedure in this case, the merits hearing is due to  
6 commence no later than February of next year. So, in just over  
7 a year's time the matter will be argued.

8           The U.K. doesn't mention in its second round of  
9 submissions the question of delay. It asks you only to look  
10 at, on the question of practicalities, the respective lengths  
11 of the two hearings, as it chooses to compare. And we say not  
12 only is there a completely false dichotomy between the  
13 impractically slender jurisdiction hearing, which is mooted,  
14 and the lengthy merits hearing that there would be, but also  
15 this completely ignores the other very important point, which  
16 is it's in the interest of everybody--the Parties and the  
17 broader administration of justice--to get this case resolved,  
18 and there is a clear, sensible timetable and path by which this  
19 would be argued in just over a year's time rather than  
20 introducing a phase which we say we have to be justified by the  
21 clearest possible considerations of both justice and  
22 expediency.

23           The U.K. seemed in its second round ready to accept  
24 that the jurisdictional hearing would be substantial when you  
25 look at it. For example, Sir Michael Wood said, well, in fact,

16:26 1 the more you have to look at the facts of the jurisdictional  
2 hearing, he claimed at one point, the more reason there is to  
3 have a lengthy jurisdictional hearing.

4           We think what that inverts, what has been the practice  
5 of international tribunals to date, which has been to steer  
6 clear of preliminary hearings, where they are so intertwined  
7 with the evidence that they're going to be substantial and  
8 lengthy. The answer seems to be from the U.K. whether it will  
9 help to clarify matters in some way for the merits.

10           But we say, well, that's not as helpful or  
11 straightforward to spread the evidence over two hearings and  
12 try to avoid duplication as to have all the evidence in one  
13 hearing without delay. That, we say, is the most sensible  
14 perspective from the point of view of administering fair and  
15 efficient justice as between the Parties.

16           And one area where the U.K. certainly seems to accept  
17 that there will have to be lengthy expert evidence is the  
18 Article 283 argument, the U.K.'s second preliminary objection  
19 that I touched on a moment ago, where Mr. Wordsworth said in  
20 terms that the review of the evidence, I quote, "may  
21 conceivably be a lengthy exercise."

22           So they are accepting, I think, really, that this is  
23 not going to be a three-day preliminary hearing. It's going to  
24 be a lengthy preliminary hearing where we will then have to try  
25 to contort ourselves to avoid duplication at the merits

16:27 1 hearing, and we say that's a contortion that's simply not going  
2 to be achieved.

3           We have to bear in mind not just practicality here,  
4 but also principle. We didn't hear much from the U.K. in the  
5 second round about the relationship of its Preliminary  
6 Objections with the merits. And again this isn't just a  
7 question of weighing the quantity of evidence that would be  
8 involved in a preliminary hearing, important though that is  
9 from the perspective of the administration of justice.

10           This is also a very important question of principle  
11 where the international authorities are completely consistent.  
12 You cannot, should not enter into Preliminary Objections where  
13 that would risk pre-judging the merits.

14           And, of course, the reason for that is fairness to the  
15 Claimant State because that means that the merits of their  
16 claim will be, as it were, judged when they have one hand tied  
17 behind their back, when the merits haven't been fully gone  
18 into.

19           So, ultimately, we say, the test is perfectly  
20 encapsulated in Paragraph 2 of Order Number 2 in the Guyana  
21 case, where the Tribunal said, well, the facts and arguments in  
22 support of Suriname's submissions in its Preliminary Objections  
23 are in significant measure the same as the facts and arguments  
24 in which the merits of the case depend, and the objections are  
25 not of an exclusively preliminary character. That completely

16:29 1 encapsulates what we say about this case.

2                 And we're not trying to say the facts are the same or  
3 the Preliminary Objections are of the same nature. We are  
4 saying applying that same test, which we say is apt, we meet  
5 both limbs of it. And we say, why should you introduce extra  
6 delay, extra cost into the process for no good reason? We say  
7 it's wrong in principle and it's impractical.

8                 And, Mr. President, Members of the Tribunal, that is  
9 all I had to say on the application of the legal tests of the  
10 case, and I ask you to invite Mr. Dabee to make Mauritius's  
11 concluding remarks.

12                 PRESIDENT SHEARER: Thank you, Ms. Macdonald.

13                 And I give the floor now to Mr. Dabee. Thank you.

14                 MR. DABEE: Mr. President, at the end of his  
15 concluding remarks, Agent for the U.K. made this fairly direct  
16 and strong statement, i.e., he said, if I am quoting him well,  
17 what Mauritius is seeking is to challenge the Law of the Sea  
18 Convention and also challenging the U.K.'s sovereignty.

19                 If we pause for a second and without in any way  
20 attempting to reopen any arguments that have already been made  
21 today, I will just speak on one matter which we have argued in  
22 our case. Let's consider the averment that historic rights of  
23 Mauritian citizens' fishing rights have been abrogated. Is  
24 this what Agent for the U.K. is inviting the Tribunal to  
25 consider as falling outside the Law of the Sea issues referred

16:30 1 to in the Preamble to the Convention? Is this what Agent for  
2 the U.K. wanted to believe constituted a challenge to the  
3 sovereignty of the U.K.?

4 Well, these and the other many other submissions made  
5 on behalf of the Republic of Mauritius would be matters for you  
6 to give your attention to. We simply submit that we have put  
7 before you sufficiently cogent argument to persuade you to  
8 order and, in fact, Mauritius invites the Tribunal to order,  
9 that all of the U.K.'s Preliminary Objections be deferred for  
10 consideration at the merits stage.

11 Well, unless there are other issues which delegation  
12 for Mauritius needs to enlighten the Tribunal about, we  
13 consider that we have done with our case. If that is so,  
14 Mr. President, we would thank the President and the Members of  
15 the Tribunal for the patience for us today, and also wish to  
16 seize the opportunity to thank the Dubai Chamber for putting  
17 these excellent facilities at our disposal.

18 Thank you, Mr. President.

19 PRESIDENT SHEARER: Thank you very much, Mr. Dabee.

20 Well, now, it remains for me to, first of all,  
21 indicate that the Tribunal will obviously deliberate on these  
22 arguments and will hand down its decision, make its order in  
23 due course. I cannot at this stage give you a firm indication  
24 of when that will be, but obviously we will treat this with  
25 both expedition, at the same time careful consideration of all

16:32 1 the arguments that we have heard, both written and in today's  
2 proceedings.

3 I would like to thank the Agents and counsel, advisors  
4 of both Parties for their excellent presentations and their  
5 care and courtesy.

6 I would like to thank Mr. Daly, our Registrar, for all  
7 his work, and also Mr. David Kasdan for his transcript.

8 The only thing, just before concluding altogether, I  
9 understand that you have been notified that there will be a  
10 photographer in attendance, and so would you please remain  
11 behind after the formal closure for those photographs to be  
12 taken. But thank you very much again, and these proceedings  
13 are now concluded. Thank you.

14 (Whereupon, at 4:33 p.m., the hearing on bifurcation  
15 was concluded.)

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CERTIFICATE OF REPORTER

I, David A. Kasdan, RDR-CRR, Court Reporter, do hereby certify that the foregoing proceedings were stenographically recorded by me and thereafter reduced to typewritten form by computer-assisted transcription under my direction and supervision; and that the foregoing transcript is a true and accurate record of the proceedings.

I further certify that I am neither counsel for, related to, nor employed by any of the parties to this action in this proceeding, nor financially or otherwise interested in the outcome of this litigation.

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DAVID A. KASDAN